

A new limit on the CP violating decay $K_S \rightarrow 3\pi^0$ with the KLOE experiment

The KLOE-2 Collaboration

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Abstract

We have carried out a new direct search for the CP violating decay $K_S \rightarrow 3\pi^0$ with 1.7 fb^{-1} of e^+e^- collisions collected by the KLOE detector at the Φ -factory DAΦNE. We have searched for this decay in a sample of about 5.9×10^8 $K_S K_L$ events tagging the K_S by means of the K_L interaction in the calorimeter and requiring six prompt photons. With respect to our previous search, the analysis has been improved by increasing of a factor four the tagged sample and by a more effective background rejection of fake K_S tags and spurious clusters. We find no candidates in data and simulated background samples, while we expect 0.12 standard model events. Normalizing to the number of $K_S \rightarrow 2\pi^0$ events in the same sample, we set the upper limit on $BR(K_S \rightarrow 3\pi^0) \leq 2.6 \times 10^{-8}$ at 90% C.L., five times lower than the previous limit. We also set the upper limit on the η_{000} parameter, $|\eta_{000}| \leq 0.0088$ at 90% C.L., improving by a factor two the latest direct measurement.

Key words: e^+e^- collisions, DAΦNE, KLOE, rare K_S decays, CP, CPT

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1 Introduction

The decay $K_S \rightarrow 3\pi^0$ violates CP invariance and its observation would be the first example of CP violation in K_S decays. The parameter η_{000} , the ratio of K_S to K_L decay amplitudes, is defined as: $\eta_{000} = A(K_S \rightarrow 3\pi^0)/A(K_L \rightarrow 3\pi^0) = \epsilon + \epsilon'_{000}$, where ϵ indicates the K_S CP impurity and ϵ'_{000} the contribution of a direct CP-violating term. Since we expect $\epsilon'_{000} \ll \epsilon$ [1], it follows that $\eta_{000} \sim \epsilon$. In the Standard Model, therefore, $\text{BR}(K_S \rightarrow 3\pi^0) \sim 1.9 \times 10^{-9}$, to a great degree of accuracy. The observation of such decay remains quite a challenge.

Previous searches follow two alternative methods: via a fit to the interference pattern or via a direct search. The NA48 collaboration [2] has fit the $K_S/K_L \rightarrow 3\pi^0$ interference pattern at small decay times finding $\Re(\eta_{000}) = -0.002 \pm 0.011_{\text{stat}} \pm 0.015_{\text{sys}}$ and $\Im(\eta_{000}) = -0.003 \pm 0.013_{\text{stat}} \pm 0.017_{\text{sys}}$, corresponding to a limit on $\text{BR}(K_S \rightarrow 3\pi^0) \leq 7.4 \times 10^{-7}$ at 90% C.L. The best upper limit on $\text{BR}(K_S \rightarrow 3\pi^0)$ comes from the direct search performed by our experiment [3] based on 450 pb^{-1} of collision data collected during 2001-2002. We observed 2 candidates with an estimated background of 3.1 events in a pure tagged sample of 127 millions of K_S decays, that allowed us to quote a limit on $\text{BR}(K_S \rightarrow 3\pi^0) \leq 1.2 \times 10^{-7}$ at 90% C.L. In this letter, we present a twofold improvement of this search based on a four times larger, and independent, data sample collected in 2004-2005 and on ameliorated techniques used for background rejection.

2 The KLOE detector

The KLOE experiment operated from 2000 to 2006 at DAΦNE, the Frascati ϕ -factory. DAΦNE is an e^+e^- collider running at a center-of-mass energy of $\sim 1020 \text{ MeV}$, the mass of the ϕ meson. Equal energy positron and electron beams collide at an angle of π -25 mrad, producing ϕ mesons nearly at rest. The detector consists of a large cylindrical Drift Chamber (DC), surrounded by a lead scintillating fiber Electromagnetic Calorimeter (EMC) both immersed in an axial 0.52 T magnetic field produced by a superconducting coil around the EMC. At the beams interaction point, IP, the spherical beam pipe of 10 cm radius is made of a Beryllium-Aluminum alloy of 0.5 mm thickness. Low beta quadrupoles are located inside the detector at a distance of about $\pm 50 \text{ cm}$ from the interaction region. The drift chamber [4], 4 m in diameter and 3.3 m long, has 12582 all stereo drift cells with tungsten sense wires and is a really light structure, having the chamber shell made of carbon fiber-epoxy composite with an internal wall of $\sim 1 \text{ mm}$ thickness, and filled with a gas mixture of 90% helium, 10% isobutane, to minimize K_S regeneration and photon conversion.

The spatial resolutions are $\sigma_{xy} \sim 150 \mu\text{m}$ and $\sigma_z \sim 2 \text{ mm}$. The momentum resolution is $\sigma(p_\perp)/p_\perp \approx 0.4\%$. The calorimeter [5] covers 98% of the solid angle and is composed by a barrel and two endcaps, for a total of 88 modules. Each module is read out at both ends by photomultipliers for a total of 2440 cells arranged in five layers. The energy deposits are obtained from the signal amplitude, while the arrival times and particles impact points are obtained from the spatial coordinates of the fired cell and the time differences. Cells close in time and space are grouped into energy clusters. The cluster energy E is calculated as the sum of the cell energies, while the cluster time T and position \vec{R} are energy weighted averages. Energy and time resolutions are parametrized as $\sigma_E/E = 5.7\%/\sqrt{E} \text{ (GeV)}$ and $\sigma_t = 57 \text{ ps}/\sqrt{E} \text{ (GeV)} \oplus 100 \text{ ps}$, respectively. The trigger [6] uses both calorimeter and chamber information. In this analysis events are selected with the calorimeter trigger, requiring two energy deposits with $E > 50 \text{ MeV}$ for the barrel and $E > 150 \text{ MeV}$ for the endcaps. Data are then analyzed by an event classification filter [7], which selects and streams various categories of events in different output files.

In this letter, we refer only to data collected during 2004-2005 for an integrated luminosity $\mathcal{L} = 1.7 \text{ fb}^{-1}$ with the most stable running conditions and the best peak luminosity. A total of 5.1 billion ϕ mesons were produced, yielding $1.7 \times 10^9 K_S K_L$ pairs. Assuming $\text{BR}(K_S \rightarrow 3\pi^0) \sim 1.9 \times 10^{-9}$ about 3 signal events are expected to have been produced.

3 Event selection

At DAΦNE the mean decay length of K_L , λ_L , is equal to $\sim 340 \text{ cm}$ and about 50% of K_L 's reach the calorimeter before decaying. A very clean K_S tag is provided by the K_L interaction in the calorimeter (K_L -crash), which is identified by a cluster with polar angle $40^\circ < \theta_{cr} < 140^\circ$, not associated to any track, with energy $E_{cr} > 100 \text{ MeV}$ and with a time corresponding to a K_L velocity $\beta^* \sim 0.2$ in the ϕ rest frame. The average value of the e^+e^- center of mass energy W is obtained with a precision of 20 keV for each 200 nb^{-1} running period using large angle Bhabha scattering events [8]. The value of W and the K_L -crash cluster position allows us to obtain, for each event, the direction of the K_S with an angular resolution of 1° and a momentum resolution of about 2 MeV.

Because of its short decay length, $\lambda_S \sim 0.6 \text{ cm}$, the displacement of the K_S from the ϕ decay position is negligible. We therefore identify as photons from K_S decay, neutral particles that travel with $\beta = 1$ from the interaction point to the EMC ("prompt photons"). In order to retain a large control sample for the background while preserving high efficiency for the signal, we keep all photons satisfying $E_\gamma > 7 \text{ MeV}$ and $|\cos\theta| < 0.915$. Each cluster is required

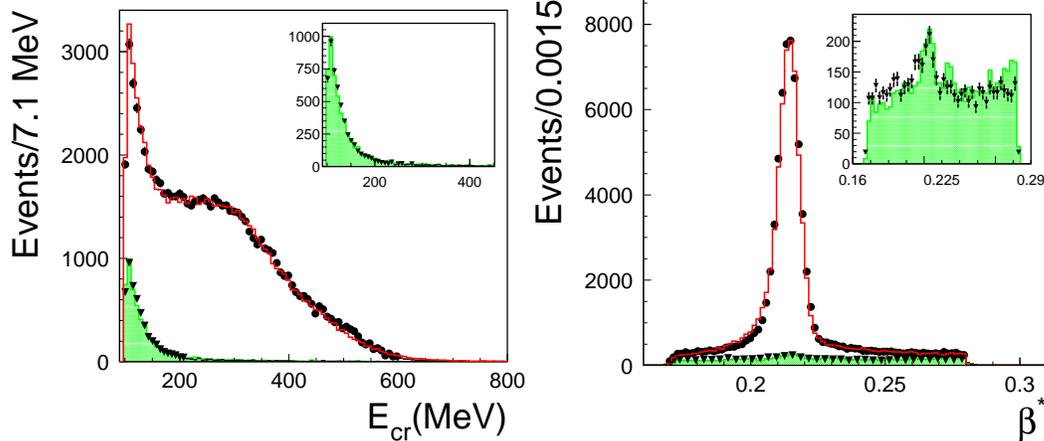


Fig. 1. Distributions of the K_L energy deposit in the EMC (E_{cr}) and velocity in the ϕ center of mass frame (β^*) for all events in the six photon sample. Black points represent data, while the MC background simulation is shown as red histogram. The same distributions for events rejected by the track veto are shown in the inset and by the black triangles (data) and green filled histograms (MC simulation).

to satisfy the condition $|t_\gamma - R_\gamma/c| < \min(3.5\sigma_t, 2 \text{ ns})$, where t_γ is the photon flight time and R the path length; σ_t also includes a contribution from the finite bunch length (2–3 cm), which introduces a dispersion in the collision time. The photon detection efficiency of the calorimeter amounts to about 90% for $E_\gamma = 20$ MeV, and reaches 100% above 70 MeV. After tagging the signal sample is selected requiring 6 prompt photons. For normalization we use the $K_S \rightarrow 2\pi^0$ decay which is selected requiring 4 prompt photons.

For both channels the expected background as well as the detector acceptance and the analysis efficiency are estimated using the Monte Carlo simulation tool of the experiment [7]. The simulation incorporates a detailed geometry and material composition of the KLOE apparatus and most of the data taking conditions of the experiment e.g. DAΦNE background rates, position of the interaction point and beam parameters. All the processes contributing to the background were simulated with statistics twice larger than the data sample. Moreover, for the acceptance and the analysis efficiency evaluation a dedicated $K_S \rightarrow 3\pi^0$ signal simulation was performed, based on a branching ratio equal to the best known upper limit [3] increased by a factor of 30 (about 5000 events).

3.1 The six photon sample

After K_S tagging, the selection of the $K_S \rightarrow 3\pi^0$ decay is performed by searching six prompt photons from the decay of the pions. Requiring $E_{cr} > 100$ MeV, $0.17 < \beta^* < 0.28$ and $40^\circ < \theta_{cr} < 140^\circ$, we count 76689 events. For these events we perform further discriminant analysis to increase the signal to

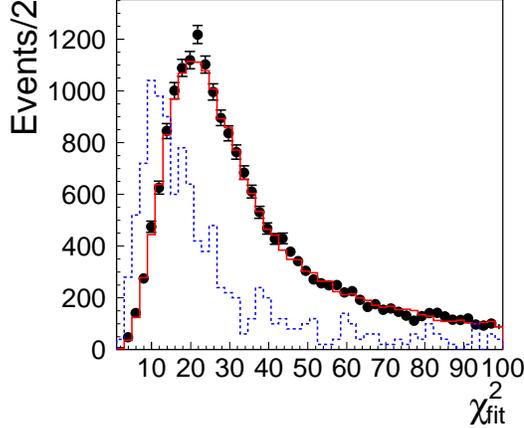


Fig. 2. Distribution of χ_{fit}^2 for the tagged six photon sample for data (black points), background simulation (solid histogram), and simulated $K_S \rightarrow 3\pi^0$ signal (dashed histogram).

background ratio.

The first analysis step aims to reject fake K_S tags. The distributions of E_{cr} and β^* for the selected data sample and background simulations are shown in Fig. 1. In the β^* distribution, the peak around 0.215 corresponds to genuine K_L interaction in the calorimeter, while the flat distribution mainly originates from $\phi \rightarrow K_S K_L \rightarrow (K_S \rightarrow \pi^+ \pi^-, K_L \rightarrow 3\pi^0)$ background events. In this case one of the low momentum charged pions spirals in the forward direction and interacts in the low- β insertion quadrupoles. This interaction produces neutral particles which simulate the signal of K_L interaction in the calorimeter (fake K_L -crash), while the K_L meson decays close enough to the interaction point to produce six prompt photons. To suppress fake K_L -crash we first reject events having charged particles produced close to the interaction region (track veto). The distributions of the kinematical variables for the vetoed background events are shown in the inset of Fig. 1. Taking advantage of the differences in the β^* and E_{cr} distributions between the tagged K_S events and the fake K_L -crash, we have tightened the cuts on these variables: $E_{cr} > 150$ MeV and $0.20 < \beta^* < 0.225$ (K_L -crash hard). This improves by a factor 12 the rejection of this background with respect to the previous analysis [3].

The second source of background originates from wrongly reconstructed $K_S \rightarrow 2\pi^0$ decays. The four photons from this decay can be reconstructed as six due to fragmentation of the electromagnetic showers (splitting). These events are characterized by one or two low-energy clusters reconstructed very close to the position of the genuine photon interaction in the calorimeter. Additional clusters can come from the accidental time coincidence between the ϕ decay and machine background photons from DAΦNE. After tagging with the K_L -crash hard algorithm and applying the track veto we remain with a sample of about 50000 six photon events. A kinematic fit with 11 constraints has

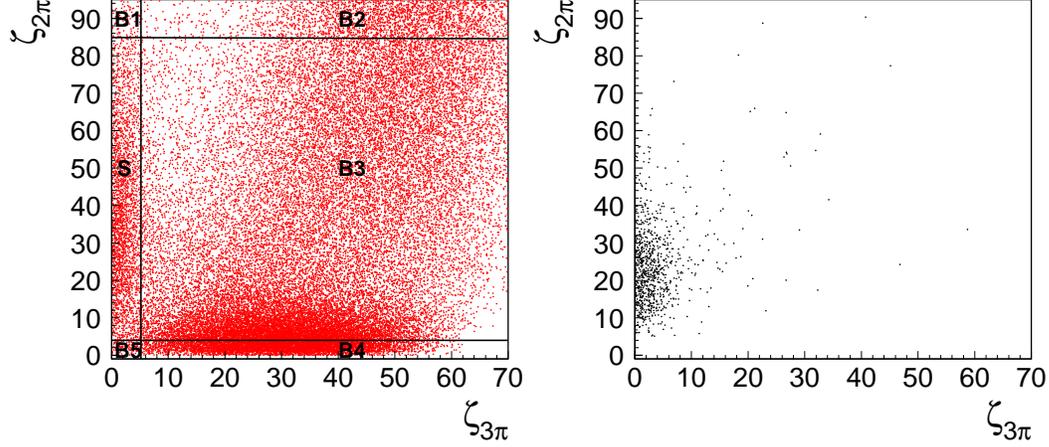


Fig. 3. Distributions of events in the $\zeta_{3\pi}$ - $\zeta_{2\pi}$ plane, for six-photon sample tagged by K_L -crash for data (left), and for the simulated $K_S \rightarrow 3\pi^0$ decays (right). The boundaries of the background control regions B1, B2, B3, B4, B5 and the signal region S are as specified in the text.

been performed imposing energy and momentum conservation, the kaon mass and the velocity of the six photons in the final state. The χ^2 distribution of the fit for data and background simulation, χ_{fit}^2 , is shown in Fig. 2 together with the expected distribution for signal events. Cutting on χ_{fit}^2 considerably reduces the background from bad quality reconstructed events while keeping large signal efficiency.

In order to improve rejection of events with split and accidental clusters, we have exploited the correlation between two χ^2 -like variables named $\zeta_{2\pi}$ and $\zeta_{3\pi}$. $\zeta_{2\pi}$ is calculated by an algorithm selecting the best four out of six clusters satisfying the kinematic constraints of the two-body decay in the $K_S \rightarrow 2\pi^0 \rightarrow 4\gamma$ hypothesis. The pairing of clusters is based on the difference between the reconstructed $\gamma\gamma$ masses, $m_{1\gamma\gamma}$ and $m_{2\gamma\gamma}$, and m_{π^0} [9], and on the opening angle of the reconstructed pion directions in the K_S center of mass frame, $\theta_{\pi\pi}$, which is 180° for $K_S \rightarrow 2\pi^0$ events. Moreover, we check the consistency of the determination of the K_S four-momentum vector P_{K_S} determined from the reconstructed four-momentum of K_L , with the sum of the photons four-momenta $P_{rec} = \sum_{i=1}^4 P_{\gamma_i}$. For every possible pairing choice the algorithm calculates the $\zeta_{2\pi}$ defined as:

$$\zeta_{2\pi} = \frac{(m_{1\gamma\gamma} - m_{\pi^0})^2}{\sigma_{2\pi}^2} + \frac{(m_{2\gamma\gamma} - m_{\pi^0})^2}{\sigma_{2\pi}^2} + \frac{(\theta_{\pi\pi} - \pi)^2}{\sigma_{\theta_{\pi\pi}}^2} + \frac{\left(E_{K_S} - \sum_{i=1}^4 E_{\gamma_i}\right)^2}{\sigma_{E_{K_S}}^2} + \frac{\left(p_{K_S}^x - \sum_{i=1}^4 p_{\gamma_i}^x\right)^2}{\sigma_{p_x}^2} + \frac{\left(p_{K_S}^y - \sum_{i=1}^4 p_{\gamma_i}^y\right)^2}{\sigma_{p_y}^2} + \frac{\left(p_{K_S}^z - \sum_{i=1}^4 p_{\gamma_i}^z\right)^2}{\sigma_{p_z}^2}. \quad (1)$$

The minimization of $\zeta_{2\pi}$ gives the best two photon pairs fulfilling the $K_S \rightarrow$

	SBOX	B1	B2	B3	B4	B5
DATA	220 ± 15	5 ± 3	15179 ± 123	26491 ± 163	6931 ± 83	137 ± 12
MC	239 ± 11	4 ± 3	14905 ± 116	26964 ± 169	6797 ± 76	100 ± 7

Table 1

Number of events populating control regions in the $\zeta_{3\pi}$ - $\zeta_{2\pi}$ plane defined in Fig. 3 after tight requirements on K_L -crash and track veto.

$2\pi^0 \rightarrow 4\gamma$ hypothesis. The resolutions used in Eq. 1 were estimated independently on data and MC simulation using a $K_S \rightarrow 2\pi^0 \rightarrow 4\gamma$ control sample. The second χ^2 -like variable, $\zeta_{3\pi}$, instead verifies the signal hypothesis $K_S \rightarrow 3\pi^0$ by looking at the reconstructed masses of the three pions. For each pair of clusters we evaluate $\zeta_{3\pi}$ as:

$$\zeta_{3\pi} = \frac{(m_{1\gamma\gamma} - m_{\pi^0})^2}{\sigma_{3\pi}^2} + \frac{(m_{2\gamma\gamma} - m_{\pi^0})^2}{\sigma_{3\pi}^2} + \frac{(m_{3\gamma\gamma} - m_{\pi^0})^2}{\sigma_{3\pi}^2}. \quad (2)$$

As the best combination of cluster pairs, we take the configuration minimizing $\zeta_{3\pi}$. The resolution on the $\gamma\gamma$ invariant mass in the $3\pi^0$ hypothesis, $\sigma_{3\pi}$, was estimated applying the algorithm to the simulated $K_S \rightarrow 3\pi^0$ events.

The distributions in the $\zeta_{3\pi}$ - $\zeta_{2\pi}$ plane for the data and $K_S \rightarrow 3\pi^0$ simulated signal are shown in Fig. 3. Signal events are characterized by small values of $\zeta_{3\pi}$ and relatively high $\zeta_{2\pi}$. To compare data and Monte Carlo simulations we have subdivided the $\zeta_{3\pi}$ - $\zeta_{2\pi}$ plane into six regions B1, B2, B3, B4, B5, and S as indicated in the left panel of Fig.3. Region S, with the largest signal-to-background ratio, is the signal box, while B1–B5 are control regions used to check the reliability of the simulation and optimize our description of the experimental data.

Simulation does not reproduce accurately the absolute number of events belonging to different background categories. However, their kinematical properties are reproduced quite well. To determine the background composition, and improve the description of experimental data, we have performed a binned likelihood fit of a linear combination of simulated $\zeta_{3\pi}$ - $\zeta_{2\pi}$ distributions to the same data distribution for all background categories [11]. The quality of the fit was controlled by comparing inclusive distributions of discriminating variables between data and simulation. Examples are presented in Fig. 4.

Table 1 shows the comparison of observed number of events with the expectations in each control region of the $\zeta_{3\pi}$ - $\zeta_{2\pi}$ plane. A satisfactory agreement is found.

To further improve the $K_S \rightarrow 2\pi^0$ background rejection we cut on the difference ΔE between the K_S energy determined from the reconstructed K_L four-momentum and the sum of energies E_{γ_i} of the four prompt photons selected by the $\zeta_{2\pi}$ algorithm:

$$\Delta E = (m_\phi/2 - \sum E_{\gamma_i})/\sigma_E, \quad (3)$$

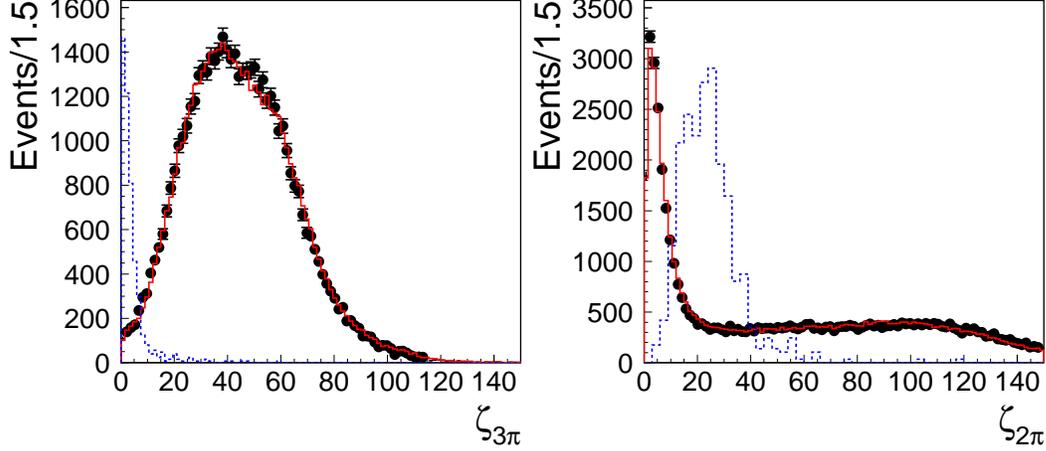


Fig. 4. Inclusive distributions of the $\zeta_{3\pi}$ and $\zeta_{2\pi}$ discriminating variables for six-photon events: data (black points), background simulations (red curves). The dashed histograms represents simulated $K_S \rightarrow 3\pi^0$ events.

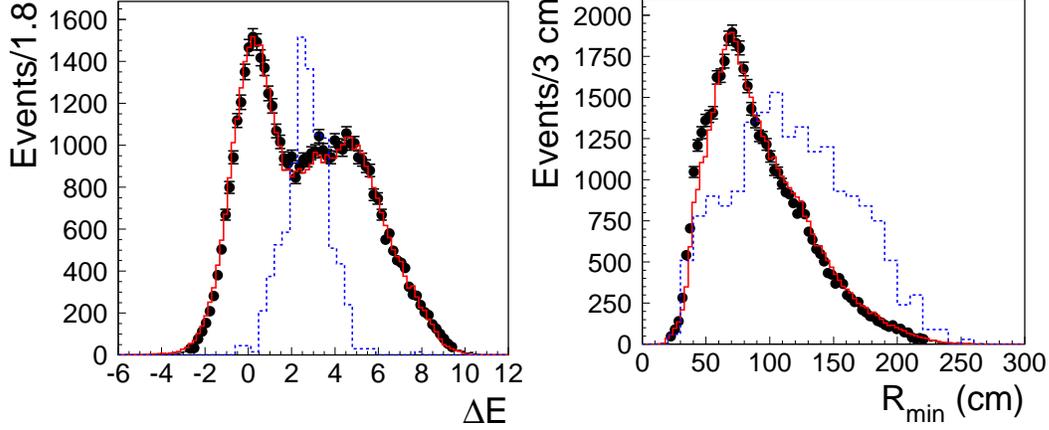


Fig. 5. Distributions of ΔE and R_{min} discriminating variables for six-photon events: data (black points), background simulations (red curves). The dashed histograms represents simulated $K_S \rightarrow 3\pi^0$ events.

where σ_E is a 4γ energy resolution estimated using the $K_S \rightarrow 2\pi^0 \rightarrow 4\gamma$ control sample. For $K_S \rightarrow 2\pi^0$ decays accompanied by two background clusters, we expect $\Delta E \sim 0$, while for $K_S \rightarrow 3\pi^0$ events $\Delta E \sim m_{\pi^0}/\sigma_E$. To further reject surviving $K_S \rightarrow 2\pi^0$ events with split clusters, we cut on the minimal distance between centroids of reconstructed clusters, R_{min} , considering that the distance between split clusters is on average smaller than the distance between clusters originating from γ 's of $K_S \rightarrow 3\pi^0$ decay. Distributions of these two discriminant variables are presented in Fig. 5.

Before opening the signal box, the cuts on the discriminant variables have been refined minimizing $f_{cut}(\chi_{fit}^2, \zeta_{2\pi}, \zeta_{3\pi}, \Delta E, R_{min}) = N_{up}/\epsilon_{3\pi}$, where $\epsilon_{3\pi}$ stands for the signal selection efficiency and N_{up} is the mean upper limit (at 90% CL) on the expected number of signal events calculated on the basis of the corresponding expected number of background events $B_{exp} = B_{exp}(\chi_{fit}^2, \zeta_{2\pi}, \zeta_{3\pi}, \Delta E, R_{min})$ from simulation [11]. The outcome of the opti-

	SBOX	B1	B2	B3	B4	B5
DATA	98 ± 10	0 ± 1	0 ± 1	10002 ± 100	6332 ± 80	69 ± 9
MC	93 ± 6	0 ± 0.06	0.3 ± 0.3	9862 ± 86	6234 ± 71	37 ± 4
DATA	13 ± 4	0 ± 1	0 ± 1	1387 ± 37	0 ± 1	0 ± 1
MC	15 ± 2	0 ± 0.06	0 ± 0.06	1194 ± 21	0 ± 0.06	0 ± 0.06

Table 2

Population of control boxes in the $\zeta_{3\pi}$ - $\zeta_{2\pi}$ plane after $\chi_{fit}^2 < 57.2$ cut (first two rows) and before the last cut on R_{min} (last two rows).

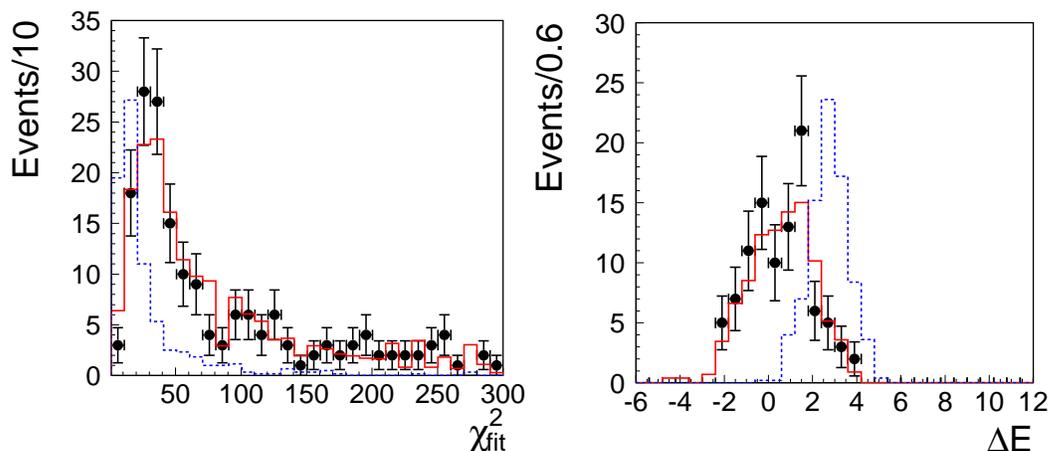


Fig. 6. Distributions of χ_{fit}^2 for six-photon events in the signal box (left) and ΔE for six-photon events in the signal box applying the $\chi_{fit}^2 < 57.2$ cut (right). Black points are data, background simulation is the red histogram. The dashed histogram represents simulated $K_S \rightarrow 3\pi^0$ events.

mizing procedure is $\chi_{fit}^2 < 57.2$, $\Delta E > 1.88$ and $R_{min} > 65$ cm. The signal box is defined as: $4 < \zeta_{2\pi} < 84.9$ and $\zeta_{3\pi} < 5.2$. Since the expected number of background events is estimated using the Monte Carlo simulations, we have checked at each stage of the analysis how well the simulation describes the experimental data. Distributions of χ_{fit}^2 , ΔE and R_{min} variables are presented in Fig. 6 and Fig. 7 for events in the signal box. In the right panel of Fig. 7 we present also the R_{min} distribution just before the last cut $R_{min} > 65$ cm. According to the Monte Carlo simulation, these survived events are all $K_S \rightarrow 2\pi^0$ decays with two split clusters (95%), or one split and one accidental cluster (5%). Tab. 2 shows the number of observed (expected) events in the six regions of the $(\zeta_{2\pi}, \zeta_{3\pi})$ plane after the $\chi_{fit}^2 < 52.7$ cut and before the cut on R_{min} . A total efficiency of $\epsilon_{3\pi} = 0.233 \pm 0.012_{stat}$ has been estimated. At the end of the analysis we find zero candidates in data and in the simulated background sample.

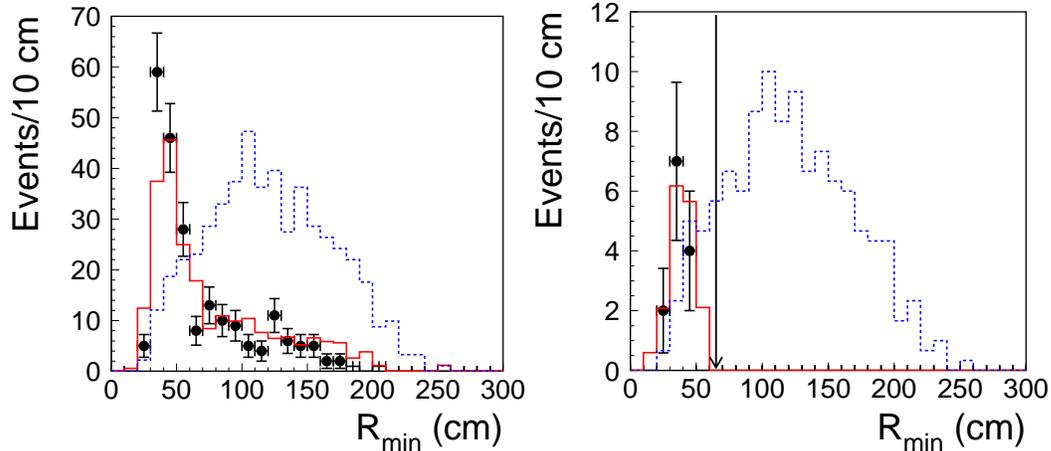


Fig. 7. Distributions of R_{min} for six-photon events in the signal box applying the $\chi^2_{fit} < 57.2$ cut (left), and applying $\chi^2_{fit} < 57.2$ and $\Delta E > 1.88$ cuts (right). Black points are data, background simulation is the red histogram. The dashed histogram represents simulated $K_S \rightarrow 3\pi^0$ events.

3.2 The normalization sample

The $K_S \rightarrow 2\pi^0$ normalization sample is selected requiring four prompt photons. The Monte Carlo simulation shows a negligible amount of background of about 0.1% of the total. These events are essentially $\phi \rightarrow K^+ K^-$ decays. After the K_L -crash hard tagging we find $N_{2\pi} = (7.533 \pm 0.018) \times 10^7$ events. With the Monte Carlo simulations we have also determined the $K_S \rightarrow 2\pi^0 \rightarrow 4\gamma$ selection efficiency: $\epsilon_{2\pi} = 0.660 \pm 0.002_{stat}$. The final number of produced $K_S \rightarrow 2\pi^0$ events is: $N_{norm} = N_{2\pi}/\epsilon_{2\pi} = (1.142 \pm 0.005) \times 10^8$.

3.3 Evaluation of systematic uncertainties

The systematic uncertainties for this search are related to the number of background events and to the determination of the acceptance and selection efficiencies for the signal, $\epsilon_{3\pi}$, and normalization, $\epsilon_{2\pi}$, samples.

For the tagged six-photon sample, we have investigated the uncertainties related to the observed background at the end of the analysis. A difference of $\sim 2.4\%$ in the EMC energy scale and resolution has been observed between data and MC simulation and has been studied using a control sample of $K_S \rightarrow 2\pi^0$ events. To evaluate the related systematic uncertainty on the background, we have repeated the upper limit evaluation with several values of the energy scale correction in the range of 2.2%-2.6%. Similarly, the analysis has been repeated modifying the resolution used in the definition of $\zeta_{2\pi}$ and $\zeta_{3\pi}$ [11]. Moreover, we have varied the ΔE and R_{min} cuts by 5% and 6%, respectively. Cuts on E_{cr} and β^* were varied by $\pm 5\%$ for both variables. The full analy-

Source	$\Delta\epsilon_{2\pi}/\epsilon_{2\pi}$ [%]	$\Delta\epsilon_{3\pi}/\epsilon_{3\pi}$ [%]
Acceptance	1.60	0.21
Offline filter	0.46	0.30
Calorimeter energy scale	–	1.00
Calorimeter energy resolution	–	1.10
χ^2_{fit} cut	–	1.46
R_{min} cut	–	0.90
TOTAL	1.65	2.30

Table 3

Summary table of the systematic uncertainties on the selection efficiencies for the signal, $\epsilon_{3\pi}$, and normalization samples, $\epsilon_{2\pi}$.

sis was repeated in total twenty times applying each time one of the changes mentioned above. For all of these checks, we have observed no variation in the number of simulated background.

For the acceptance of both the signal and normalization samples, we have evaluated the systematic uncertainty on the photon counting by comparing between data and simulation splitting, accidental probabilities and cluster reconstruction efficiency. Another source of systematic uncertainties originates from the offline filter used, during data reconstruction, to reject cosmic rays and machine background events [11]. We consider instead negligible the influence of trigger efficiency for both samples, since in our previous analysis [3] it was about 99.5% and the K_L -crash hard tagging requires a larger energy release in the calorimeter, which translates in an even larger trigger efficiency.

The observed difference in the EMC energy scale and resolution between data and simulation enters also in the $\epsilon_{3\pi}$ evaluation. The effects have been estimated as $\Delta\epsilon_{3\pi}/\epsilon_{3\pi} = 1.0$ % from the energy scale, and $\Delta\epsilon_{3\pi}/\epsilon_{3\pi} = 1.1$ % from the resolution. The effect of the cut on χ^2_{fit} has been tested constructing the ratio between the cumulative distributions for experimental data and simulation which leads to a systematics of $\Delta\epsilon_{3\pi}/\epsilon_{3\pi} = 1.46\%$. Finally, we have investigated the systematic effect related to the R_{min} cut by varying its value by 6%, and estimated its contribution to be $\Delta\epsilon_{3\pi}/\epsilon_{3\pi} = 0.9\%$.

All the contributions to the systematic uncertainty are summarized in Tab. 3, with the total systematic uncertainty evaluated adding all effects in quadrature.

4 Results

No events were observed on data in the signal region. Equally, no background events are found in the MC simulation based on twice the data statistics. In the conservative assumption of no background, we estimate an upper limit on the expected number of signal events $UL(\text{Nev}(K_S \rightarrow 3\pi^0)) = 2.3$ at 90% C.L., with a signal efficiency of $\epsilon_{3\pi} = 0.233 \pm 0.012_{stat} \pm 0.006_{sys}$. In the same tagged sample we count $N_{norm} = (1.142 \pm 0.005) \times 10^8$ $K_S \rightarrow 2\pi^0$ events. Systematic uncertainties on background determination, as well as on the efficiency evaluation for the signal and normalization samples, are negligible in the calculation of the limit.

Using the value $BR(K_S \rightarrow 2\pi^0) = 0.3069 \pm 0.0005$ [9] we obtain:

$$BR(K_S \rightarrow 3\pi^0) \leq 2.6 \times 10^{-8} \quad \text{at } 90\% \text{ C.L.} \quad (4)$$

which represents the best limit on this decay while improving by a factor of ~ 5 our previous result [3].

This result can be translated into a limit on $|\eta_{000}|$:

$$|\eta_{000}| = \left| \frac{A(K_S \rightarrow 3\pi^0)}{A(K_L \rightarrow 3\pi^0)} \right| = \sqrt{\frac{\tau_L BR(K_S \rightarrow 3\pi^0)}{\tau_S BR(K_L \rightarrow 3\pi^0)}} \leq 0.0088 \quad \text{at } 90\% \text{ C.L.} \quad (5)$$

This describes a circle of radius 0.0088 centered at zero in the $\Re(\eta_{000}), \Im(\eta_{000})$ plane and represents a limit two times smaller than our previous result (Ref. [3]).

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