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on Fundamental and Applied
Subatomic Physics

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DIRECT CP-VIOLATION AND RARE K DECAY PERSPECTIVES

Augusto Ceccucci / CERN

SETTING THE SCENE

Quark mixing and CP-Violation has been a very active area of investigation over the past decades

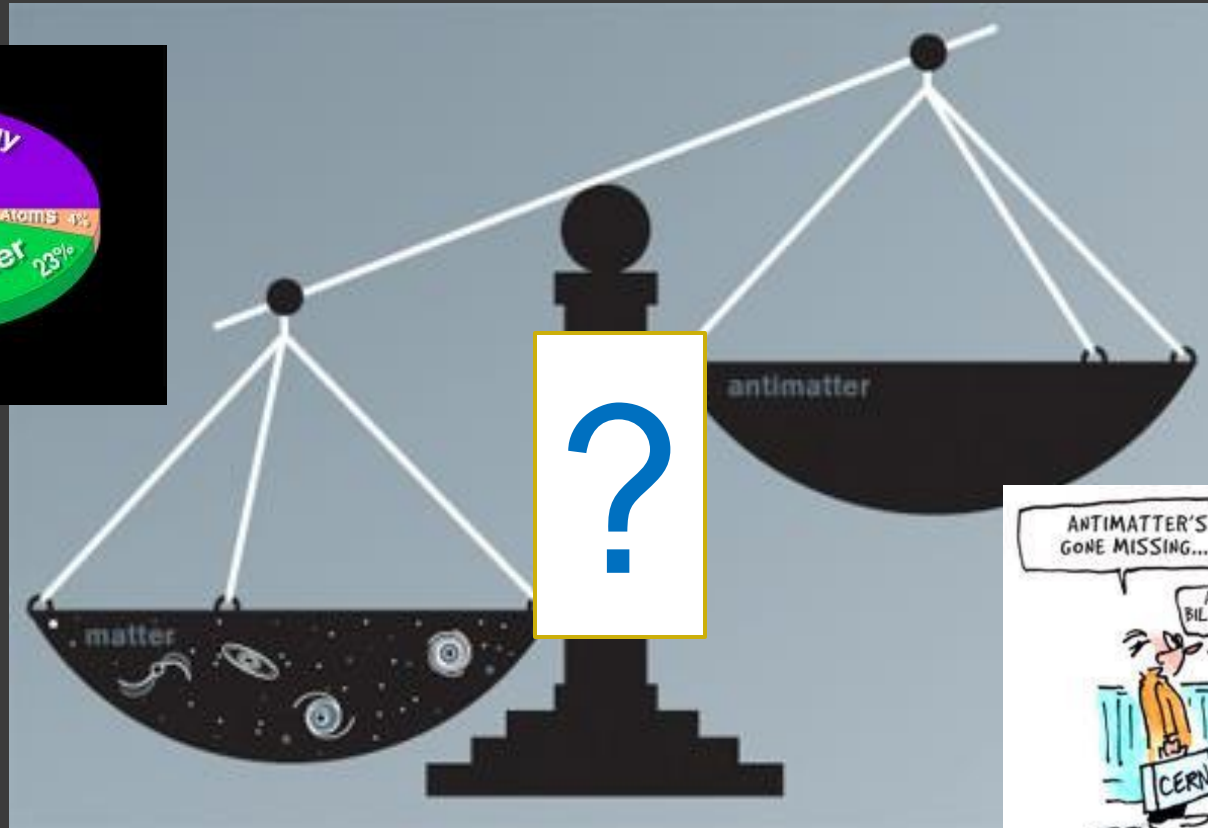
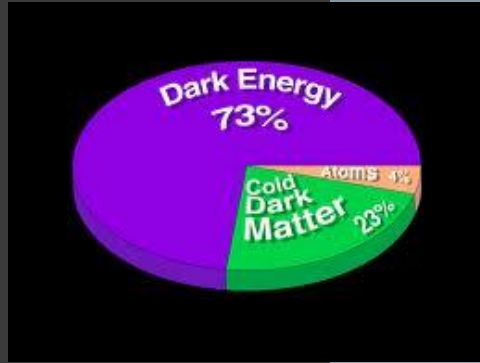
Owing to the last round of experiments in K and B mesons, our understanding is now completely compatible with the existence of “just” one phase in the Cabibbo-Kobayashi-Maskawa mixing matrix

The precision of the tests in the quark sector is improving thanks to the interplay of theory and experiments

Flavour transitions are so sensitive to short distance mechanisms that we need to press for quantitative tests of the Standard Model (SM)

Since the “directly” accessible energy frontier is limited (LHC for now) it is important to try explore the “zepto-universe”, $O(10^{-21} \text{ m})$ “indirectly”

Baryon Asymmetry of the Universe (BAU)



$$n_{\text{quark}} - n_{\text{antiquark}} / n_{\text{quark}} \text{ (Proto Universe)} \sim n_{\text{baryon}} / n_{\text{photon}} \text{ (Today)} \sim 5 \times 10^{-10}$$

Sakharov Conditions for BAU



Andrei Sakharov (1967)

To allow the development of an asymmetry between matter and anti-matter

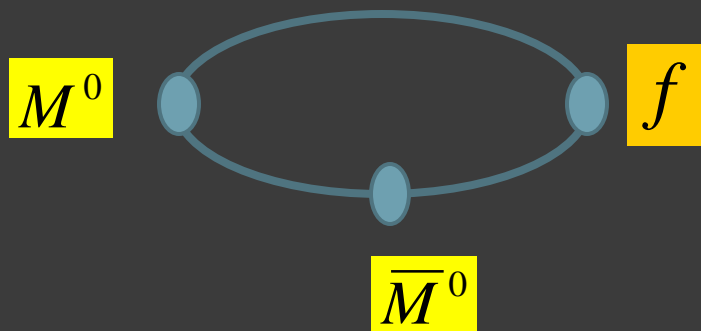
1. Violation of Baryonic Number
2. Thermodynamic Non-equilibrium
3. Violation of **C & CP**

Types of CP-Violation

$$\begin{aligned} |M_L\rangle &\propto p|M^0\rangle + q|\bar{M}^0\rangle \\ |M_H\rangle &\propto p|M^0\rangle - q|\bar{M}^0\rangle \end{aligned} \quad \Delta F = 2$$

$$\begin{aligned} A_f &= \langle f | H | M \rangle, \quad \bar{A}_f = \langle f | H | \bar{M} \rangle \\ A_{\bar{f}} &= \langle \bar{f} | H | M \rangle, \quad \bar{A}_{\bar{f}} = \langle \bar{f} | H | \bar{M} \rangle \end{aligned} \quad \Delta F = 1$$

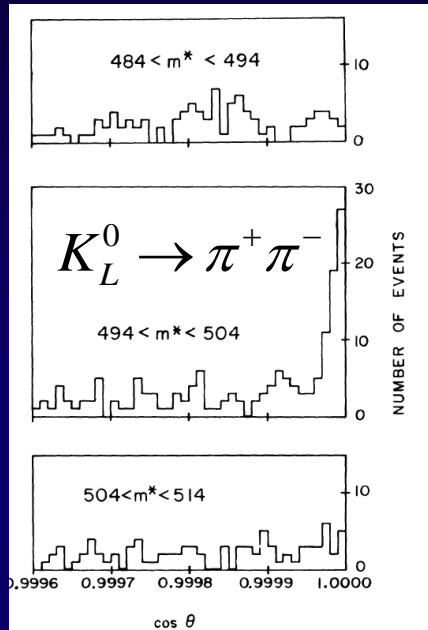
1. CP Violation in mixing $|q/p| \neq 1$ (indirect)
2. CP Violation in decays $|\bar{A}_{\bar{f}}/A_f| \neq 1$ (direct)
3. CP Violation in the interference



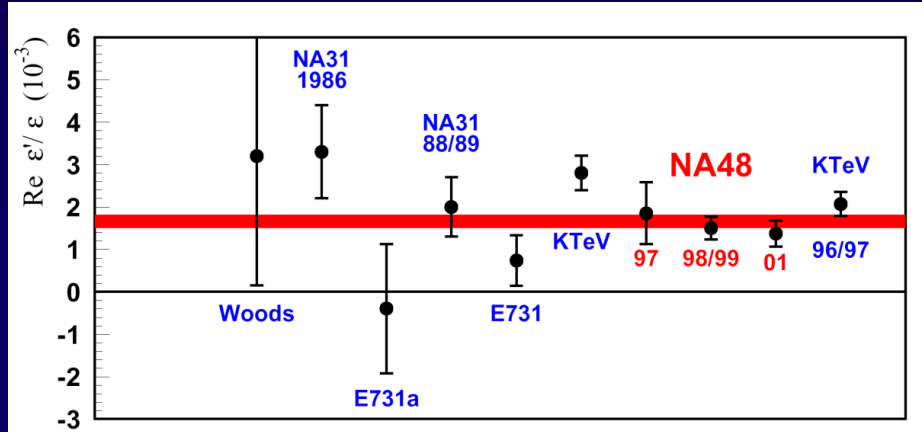
$$\text{Im } \lambda_f \neq 0$$

$$\lambda_f \equiv \frac{q}{p} \frac{\bar{A}_f}{A_f}$$

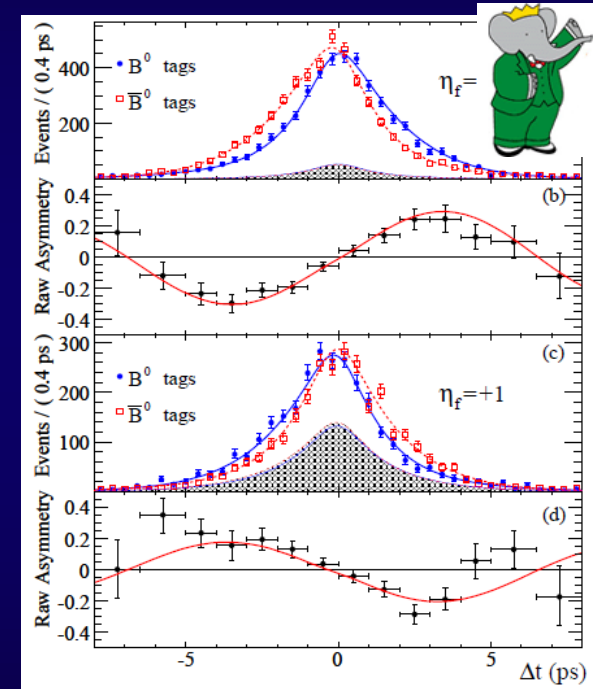
CP Violation



$$\text{Re } \varepsilon'/\varepsilon = (1.68 \pm 0.14) \times 10^{-3}$$



BaBar + Belle:
 $\sin 2\beta = 0.672 \pm 0.023$



$$\frac{\Gamma(K^0 \rightarrow \pi^+ \pi^-) - \Gamma(\bar{K}^0 \rightarrow \pi^+ \pi^-)}{\Gamma(K^0 \rightarrow \pi^+ \pi^-) + \Gamma(\bar{K}^0 \rightarrow \pi^+ \pi^-)} \sim (5.5 \pm 0.5) \times 10^{-6}$$

V.L.Fitch R.Turlay
 J.W.Cronin J.H.Christenson
Phys. Rev. Lett. 13 (1964) 138.

Mixing

Decay

Interference

Quark masses and mixing

- ⊙ The masses and mixings of quarks have a common origin in the standard model (SM): they arise from the **Yukawa** interactions with the **Higgs** condensate

$$\mathcal{L}_Y = -Y_{ij}^d \overline{Q_{Li}^I} \phi d_{Rj}^I - Y_{ij}^u \overline{Q_{Li}^I} \epsilon \phi^* u_{Rj}^I + \text{h.c.}$$

- ⊙ When ϕ acquires a **VEV** we get the masses of the quarks
- ⊙ The diagonalization yields the **physical states**. As a result the charged currents couples to the physical quarks as:

$$\frac{-g}{\sqrt{2}} (\overline{u}_L, \overline{c}_L, \overline{t}_L) \gamma^\mu W_\mu^+ V_{\text{CKM}} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + \text{h.c.},$$

- ⊙ V_{CKM} is a 3 x 3 complex matrix known as the **Cabibbo, Kobayashi, Maskawa** matrix

Cabibbo-Kobayashi-Maskawa (CKM) Quark Mixing

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

PDG 2014

If V is unitary:

$n \times n$ real parameter

$2n-1$ unphysical phases

$n(n-1)/2$ rotation angles

$(n-1)(n-2)/2$ complex phases

$$|V_{ud}| = 0.97425 \pm 0.00022$$

$$|V_{us}| = 0.2253 \pm 0.0008$$

$$|V_{cd}| = 0.225 \pm 0.008$$

$$|V_{cs}| = 0.986 \pm 0.016$$

$$|V_{cb}| = (41.1 \pm 1.3) \times 10^{-3}$$

$$|V_{ub}| = (4.13 \pm 0.49) \times 10^{-3}$$

$$|V_{tb}| = 1.021 \pm 0.032$$

$0^+ \rightarrow 0^+$ super-allowed nuclear β decays

Kaon semi-leptonic and leptonic decays

semi-leptonic D decays and neutrino/antineutrino

Average of semi-leptonic D and leptonic D_s decays

Combination of exclusive and inclusive B decays

Comb. of exclusive and inclusive charmless B decays*

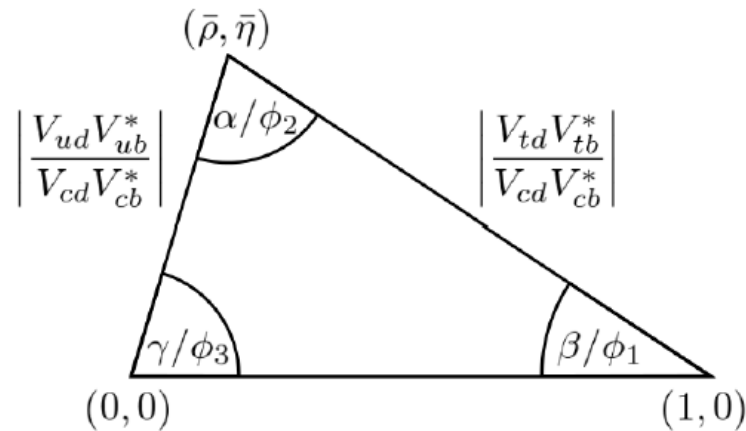
Single top-quark production cross-section

V_{td} & V_{ts} accessible from FCNC processes (loops)

*But tension inclusive and exclusive determinations

One (of the six) Unitarity Relations

$$V_{td}V_{tb}^* + V_{cd}V_{cb}^* + V_{ud}V_{ub}^* = 0$$

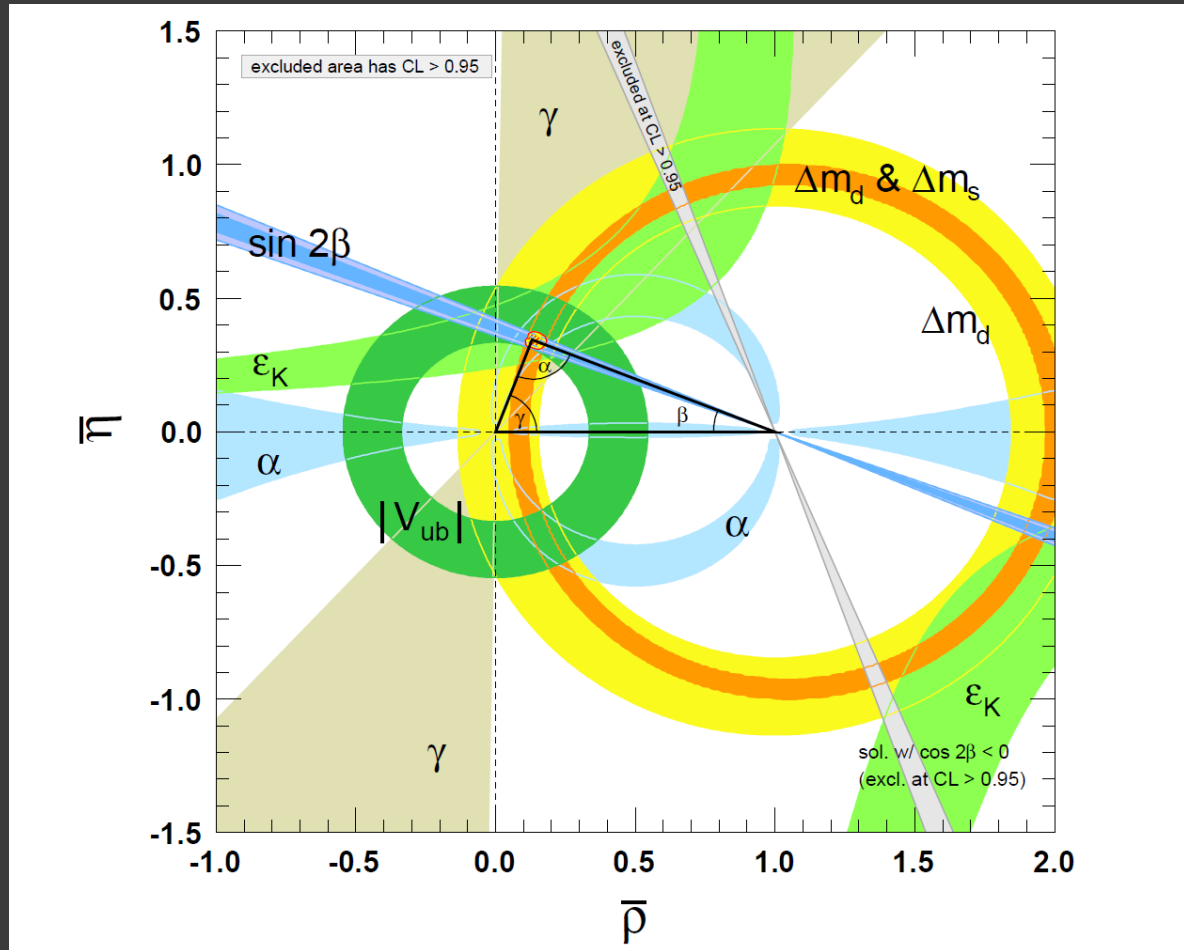


$$\beta = \phi_1 = \arg \left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right)$$

$$\alpha = \phi_2 = \arg \left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right)$$

$$\gamma = \phi_3 = \arg \left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right)$$

Constraints on the rho eta plane

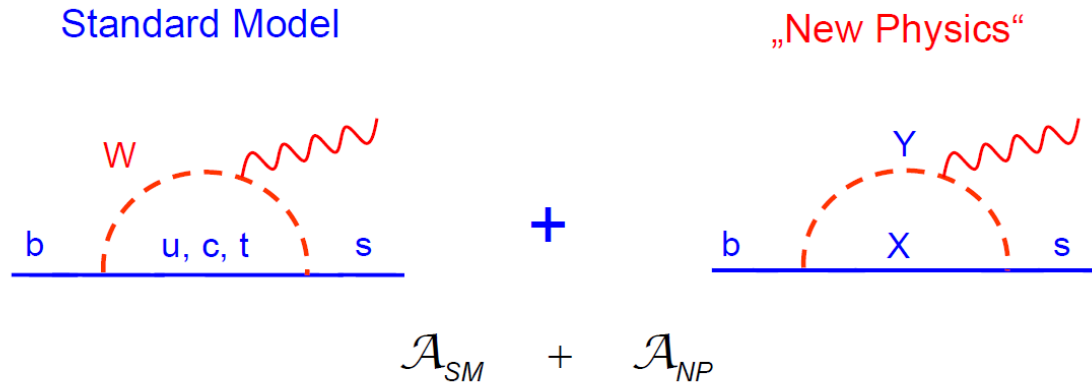


PDG 2014

The unique measure of CP-Violation in the SM is the area of the Unitarity Triangle (Jarlskog invariant J)

$$J = (2.96^{+0.20}_{-0.16}) \times 10^{-5}$$

PROMISE OF NEW PHYSICS IN LOOPS



$$A_{SM} + A_{NP} = K_{SM} \frac{\alpha_W}{4\pi} \frac{F_{CKM}}{M_W^2} + K_{NP} L \frac{F_{NP}}{\Lambda^2}$$

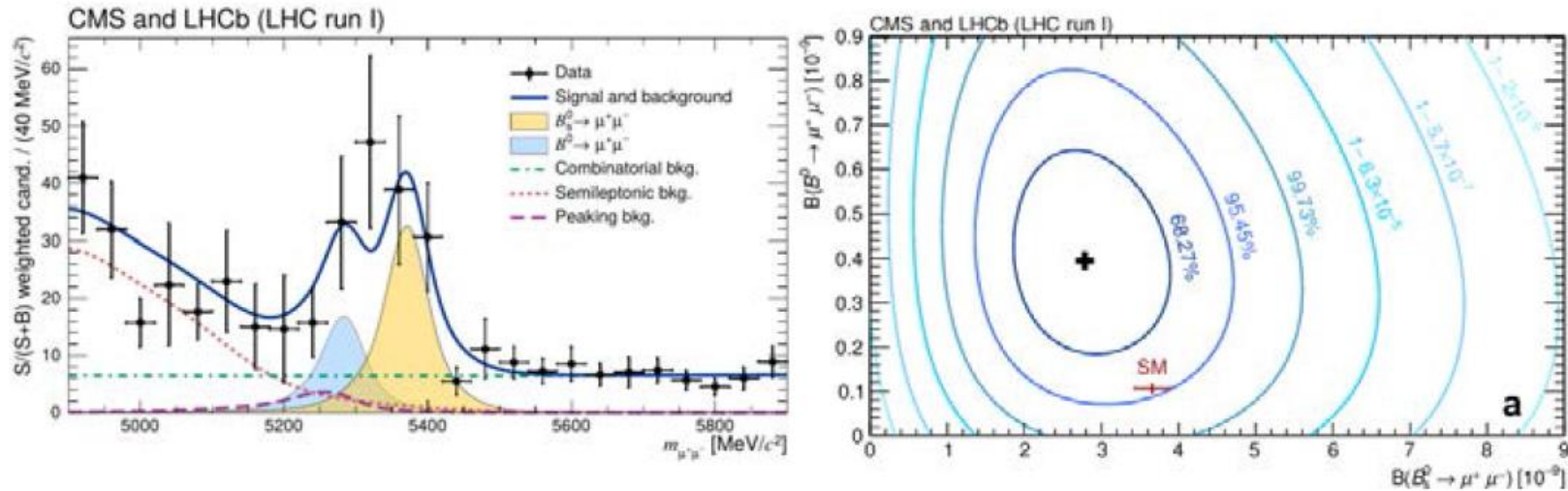
- L is a possible loop factor
- $K_{NP} \sim K_{SM}$
- F_{NP} is the NP Flavour coupling
- If $L > \alpha_W/4\pi$ and $F_{NP} > F_{SM}$ we can extract the NP scale Λ

| Operator | Bounds on Λ in TeV ($c_{NP} = 1$) | | Bounds on c_{NP} ($\Lambda = 1$ TeV) | | Observables |
|----------------------------------|---|-------------------|---|-----------------------|---|
| | Re | Im | Re | Im | |
| $(\bar{s}_L \gamma^\mu d_L)^2$ | 9.8×10^2 | 1.6×10^4 | 9.0×10^{-7} | 3.4×10^{-9} | $\Delta m_K; \epsilon_K$ |
| $(\bar{s}_R d_L)(\bar{s}_L d_R)$ | 1.8×10^4 | 3.2×10^5 | 6.9×10^{-9} | 2.6×10^{-11} | |
| $(\bar{c}_L \gamma^\mu u_L)^2$ | 1.2×10^3 | 2.9×10^3 | 5.6×10^{-7} | 1.0×10^{-7} | $\Delta m_D; q/p _D, \phi_D$ |
| $(\bar{c}_R u_L)(\bar{c}_L u_R)$ | 6.2×10^3 | 1.5×10^4 | 5.7×10^{-8} | 1.1×10^{-8} | |
| $(\bar{b}_L \gamma^\mu d_L)^2$ | 6.6×10^2 | 9.3×10^2 | 2.3×10^{-6} | 1.1×10^{-6} | $\Delta m_{B_d}; \sin(2\beta)$ from $B_d \rightarrow \psi K$ |
| $(\bar{b}_R d_L)(\bar{b}_L d_R)$ | 2.5×10^3 | 3.6×10^3 | 3.9×10^{-7} | 1.9×10^{-7} | |
| $(\bar{b}_L \gamma^\mu s_L)^2$ | 1.4×10^2 | 2.5×10^2 | 5.0×10^{-5} | 1.7×10^{-5} | $\Delta m_{B_s}; \sin(\phi_s)$ from $B_s \rightarrow \psi \phi$ |
| $(\bar{b}_R s_L)(\bar{b}_L s_R)$ | 4.8×10^2 | 8.3×10^2 | 8.8×10^{-6} | 2.9×10^{-6} | |

B RARE DECAYS

- Few meson decays are particularly clean theoretically and so suppressed in the Standard Model that they provide a window to very short distance
- For B's I will just mention one example and the prospects for the next decades

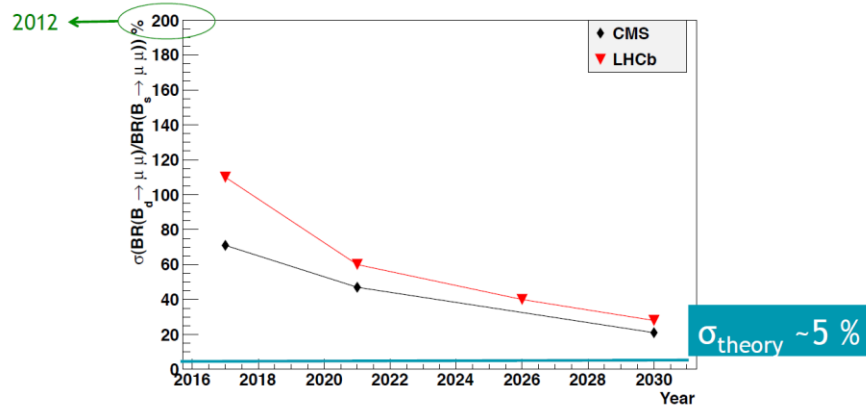
The very rare decay $B_s^0 \rightarrow \mu^+ \mu^-$



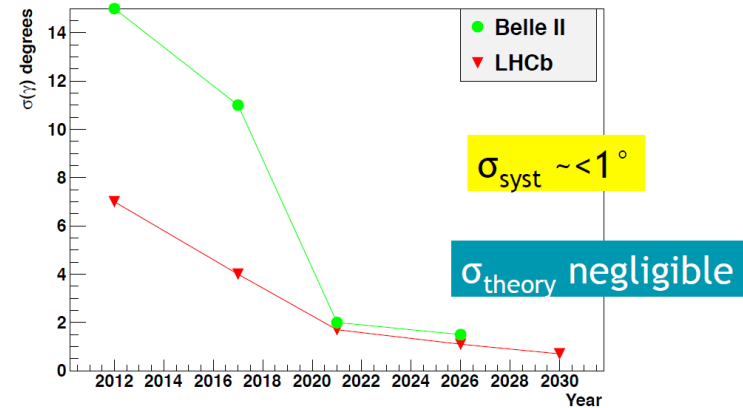
- Observation of $B_s^0 \rightarrow \mu^+ \mu^-$ using combined CMS and LHCb dataset [arxiv:1411.4413], submitted to Nature
- $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (2.79_{-0.60}^{+0.66} {}_{-0.19}^{+0.26}) \times 10^{-9}$, 6.2σ sign. (7.6σ expected)
- $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (3.94_{-1.41}^{+1.58} {}_{-0.24}^{+0.31}) \times 10^{-10}$, 3.2σ sign. (0.8σ expected)
- SM predictions [Bobeth et al., PRL 112 (2014) 101801]
 - $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.66 \pm 0.23) \times 10^{-9}$, compatible at 1.2σ
 - $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.06 \pm 0.09) \times 10^{-10}$, compatible at 2.2σ

ECFA STUDY ON HEAVY FLAVOUR

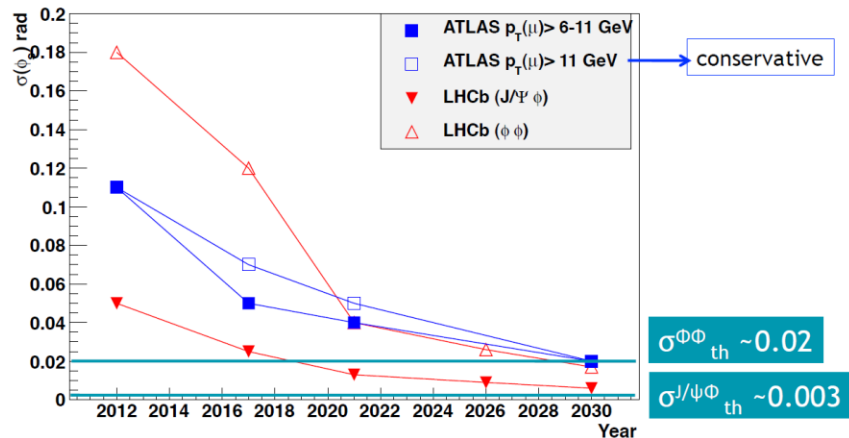
$BR(B_d \rightarrow \mu + \mu^-) / BR(B_s \rightarrow \mu + \mu^-)$



Expected precision on γ from tree decays

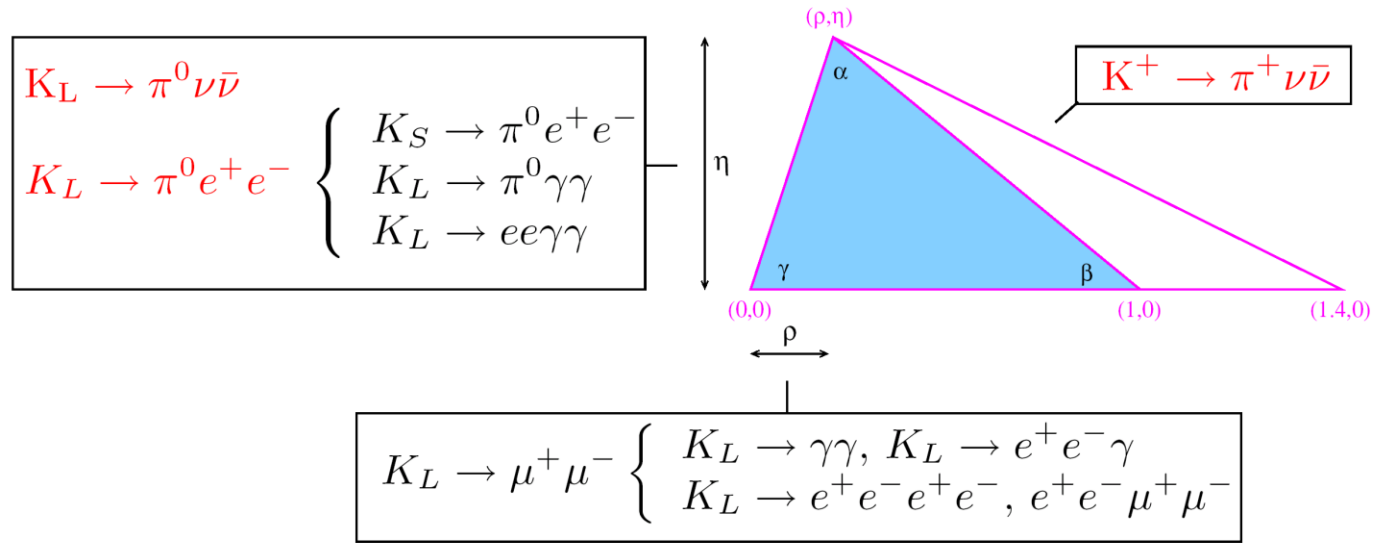


Expected precision on ϕ_s (rad)

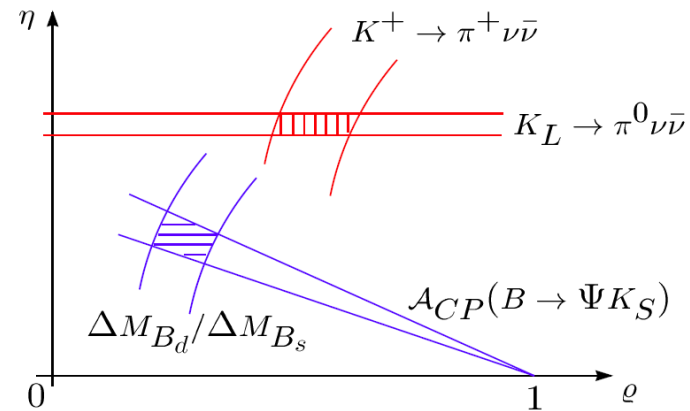


- 2025 appears to be the time for a good harvest
- A crossroad for B physics...

KAON RARE DECAYS



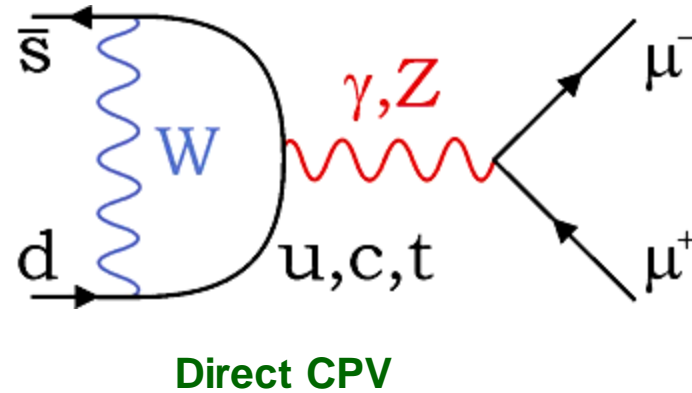
- K physics alone can fully constrain the CKM unitarity triangle.
- Comparison with B physics can provide description of NP flavour dynamics



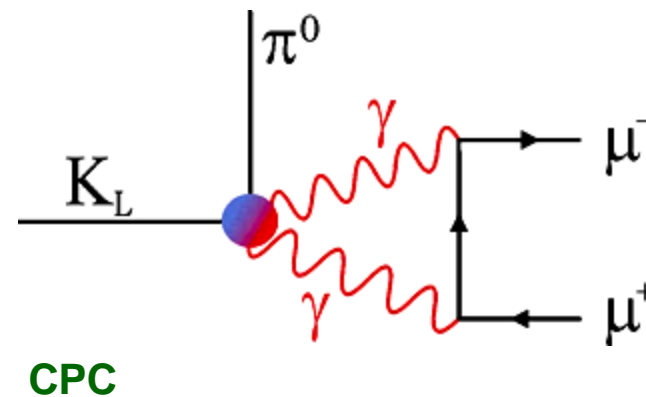
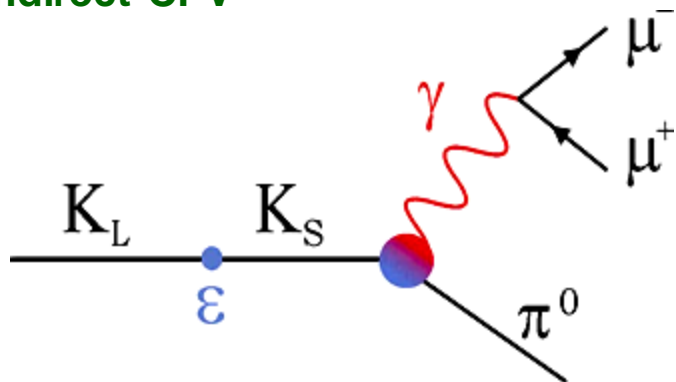
$K_L^0 \rightarrow \pi^0 e^+ e^-$ and $K_L^0 \rightarrow \pi^0 \mu^+ \mu^-$

Study Direct CP-Violation

- NA48/1 has measured the Indirect CP-Violating Contribution for both modes
- S-L Constructive Interference preferred
- CP-Conserving Contributions are negligible

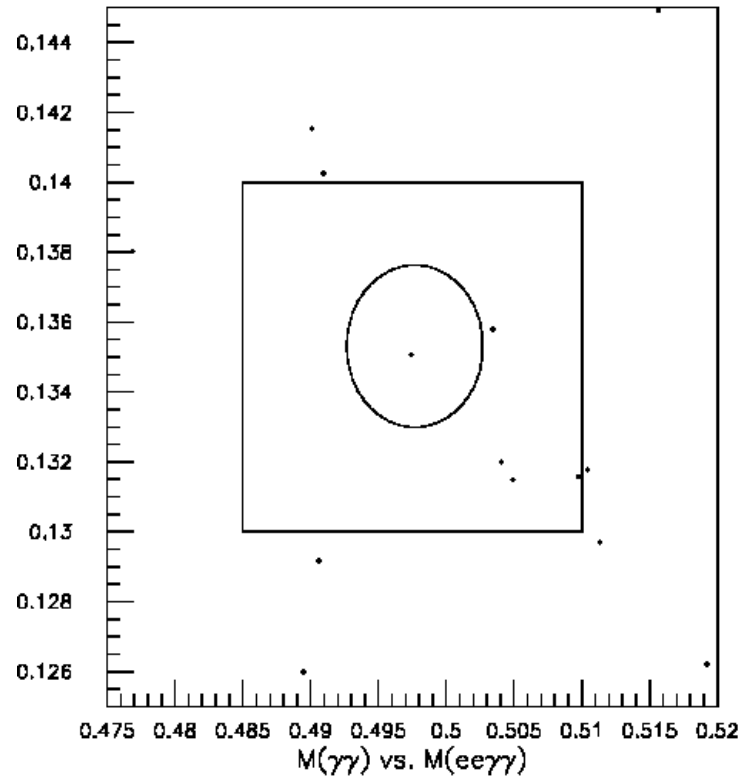


Indirect CPV



KTeV: $K_L \rightarrow \pi^0 ee$

1999 data



PRL93, 021805 (2004)

- One candidate in the signal box

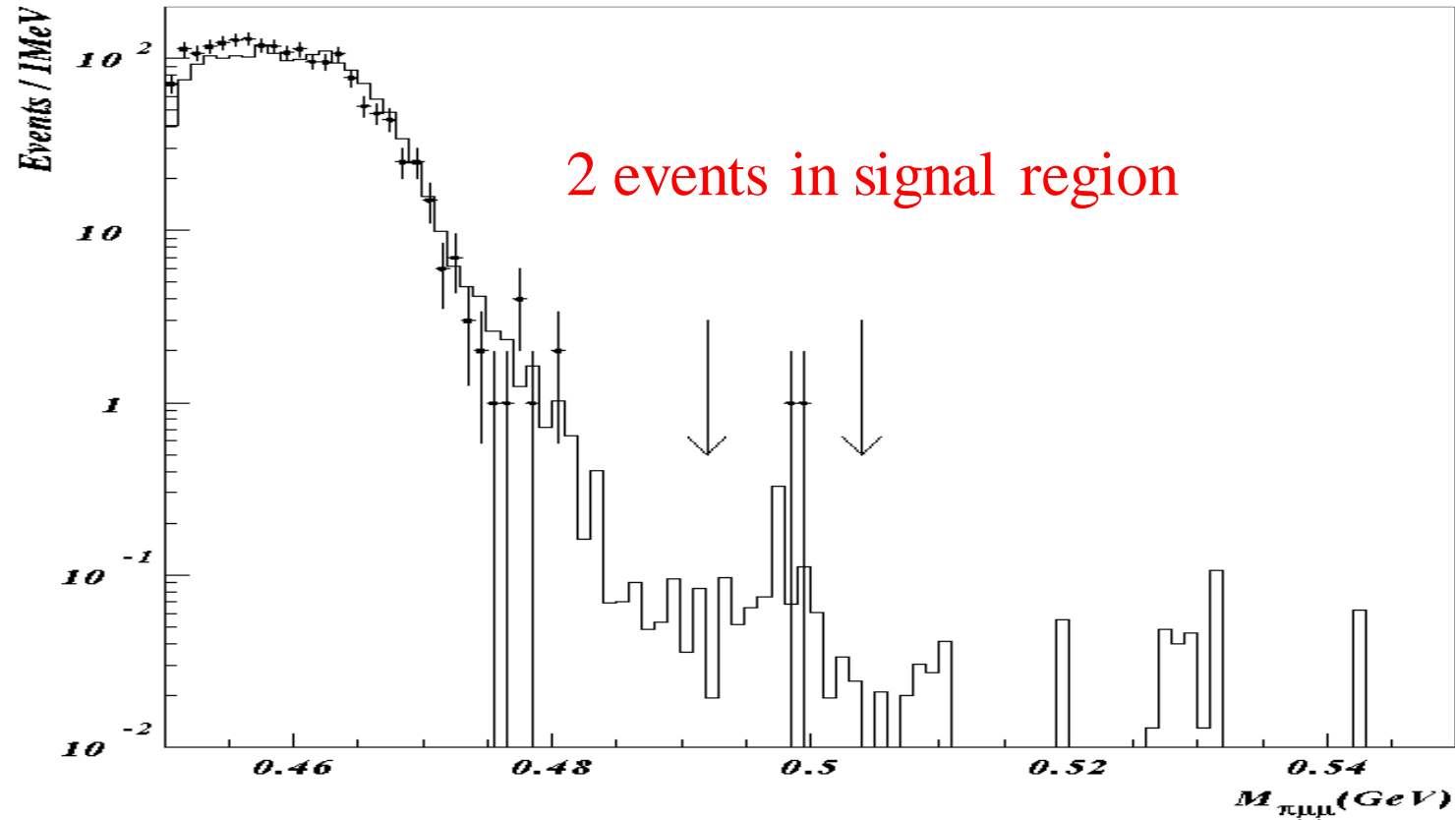
$$BR(K_L \rightarrow \pi^0 ee) < 3.5 \times 10^{-10} @90\%CL$$

- Combining 1997 and 1999:

$$BR(K_L \rightarrow \pi^0 ee) < 2.8 \times 10^{-10} @90\%CL$$

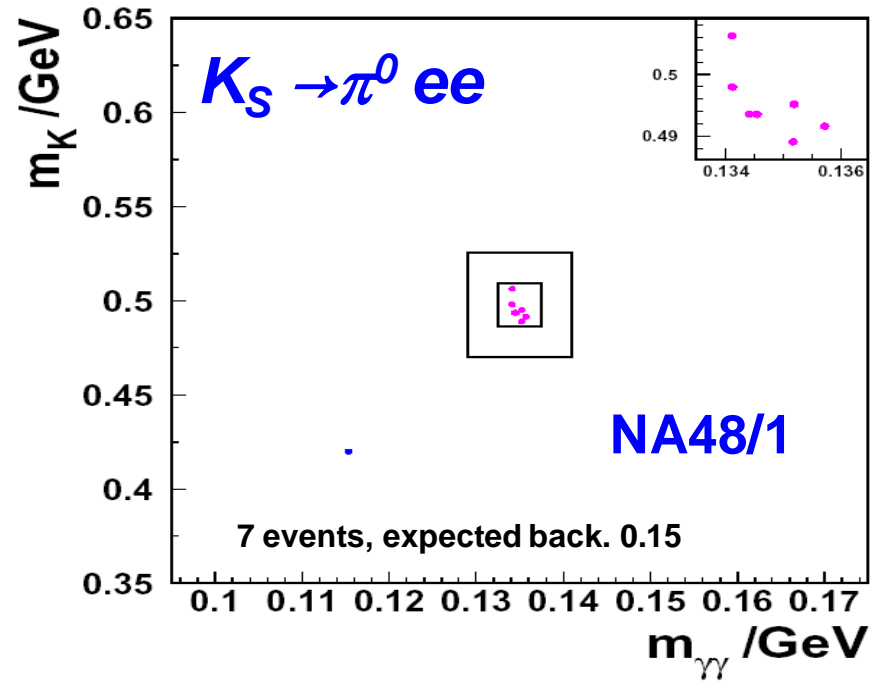
Expected Background 0.99 ± 0.35 events

KTeV: $K^0_L \rightarrow \pi^0 \mu\mu$



$\text{BR}(K^0_L \rightarrow \pi^0 \mu\mu) < 3.8 \times 10^{-10}$ (90% C.L.) [PRL 86, 5425 (2001)]

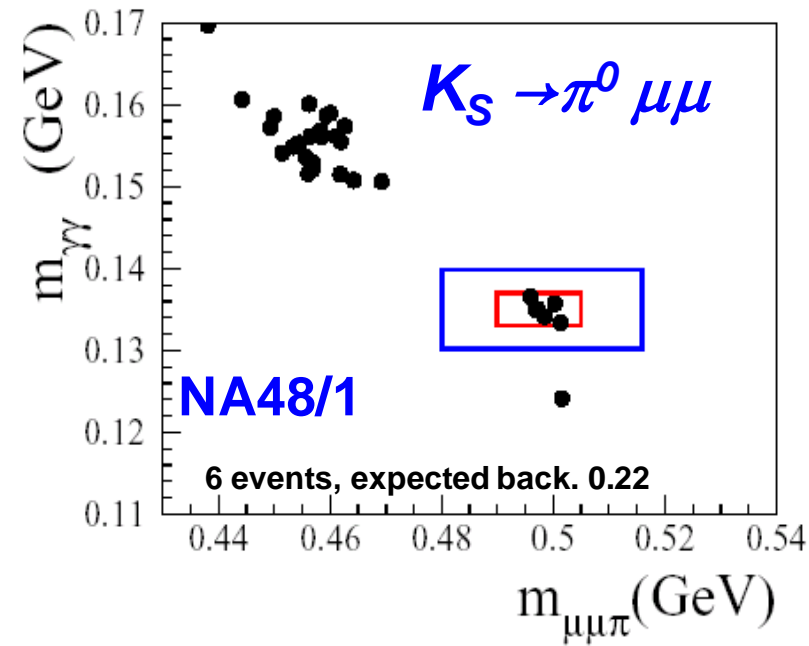
$K_S^0 \rightarrow \pi^0 e^+e^-$ and $K_S^0 \rightarrow \pi^0 \mu^+\mu^-$



$$BR(K_S \rightarrow \pi^0 ee) \times 10^{-9} = 5.8^{+2.8}_{-2.3(\text{stat})} \pm 0.8(\text{syst})$$

$$|a_s| = 1.06^{+0.26}_{-0.21(\text{stat})} \pm 0.07(\text{syst})$$

PLB 576 (2003)



$$BR(K_S \rightarrow \pi^0 \mu\mu) \times 10^{-9} = 2.9^{+1.4}_{-1.2(\text{stat})} \pm 0.2(\text{syst})$$

$$|a_s| = 1.55^{+0.38}_{-0.32(\text{stat})} \pm 0.05(\text{syst})$$

PLB 599 (2004)

$K_L^0 \rightarrow \pi^0 ee (\mu\mu)$: SM Branching Ratios

Thank to the NA48/1 measurements, the KL BR can now be predicted

(Isidori, Unterdorfer, Smith) $Br(K_L \rightarrow \pi^0 \mu^+ \mu^-) (\times 10^{-12})$

Constructive

$$B_{e^+e^-} = 3.7_{-0.9}^{+1.1} \times 10^{-11}$$

$$B_{\mu^+\mu^-} = 1.5_{-0.3}^{+0.3} \times 10^{-11}$$

now favored by two independent analyses*

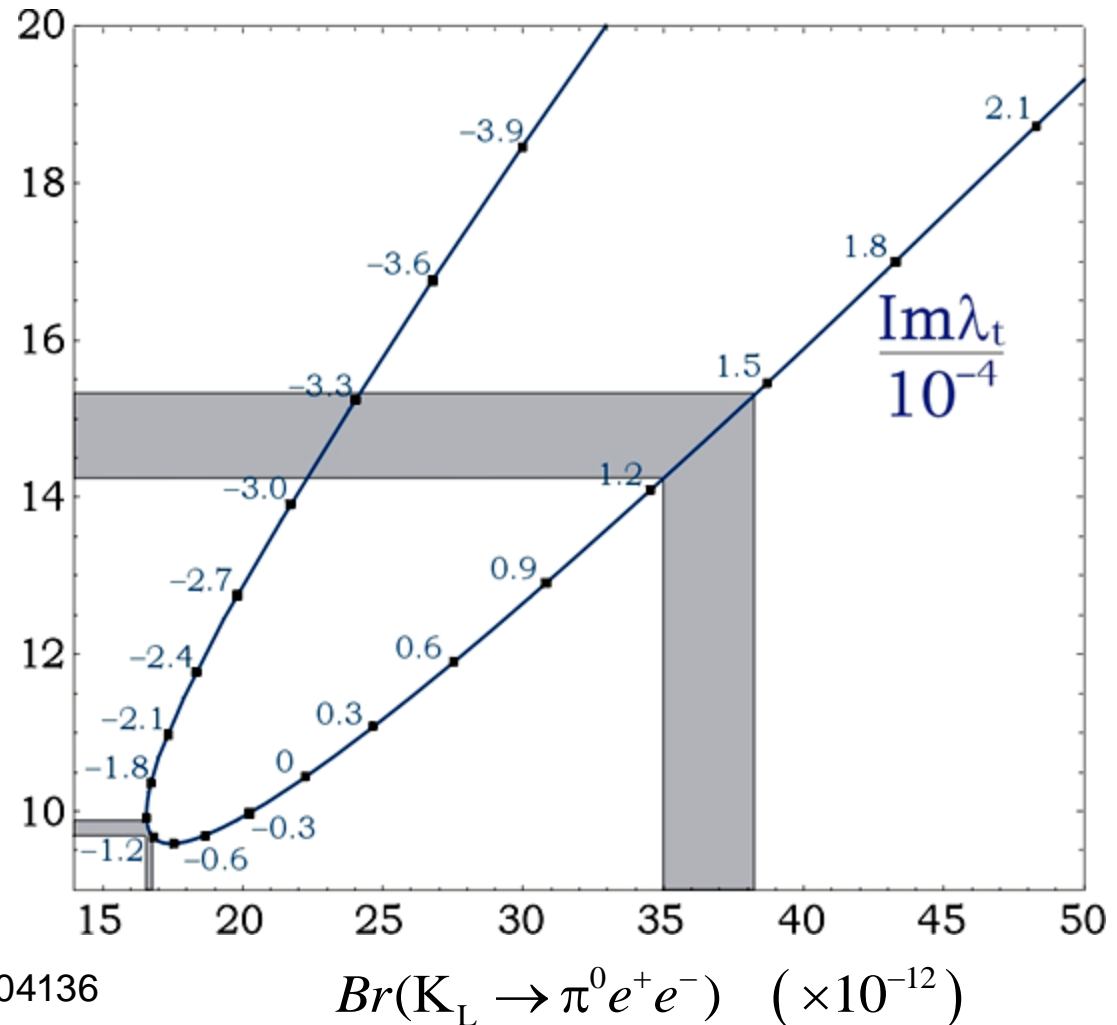
Destructive

$$B_{e^+e^-} = 1.7_{-0.6}^{+0.7} \times 10^{-11}$$

$$B_{\mu^+\mu^-} = 1.0_{-0.2}^{+0.2} \times 10^{-11}$$

*G. Buchalla, G. D'Ambrosio, G. Isidori, Nucl.Phys.B672,387 (2003)

*S. Friot, D. Greynat, E. de Rafael, hep-ph/0404136



CP violating: $K_S \rightarrow \pi^0 \pi^0 \pi^0$
never observed so far!

SM $\Gamma(K_S \rightarrow 3\pi^0) = \Gamma(K_L \rightarrow 3\pi^0) |\eta_{000}|^2 \Rightarrow \text{BR}(K_S \rightarrow 3\pi^0) \sim 2 \times 10^{-9}$

KLOE/KLOE-2: Phys. Lett. B 723 (2013) 54

$$\text{BR}(K_S \rightarrow 3\pi^0) \leq 2.6 \times 10^{-8} \quad \text{at } 90\% \text{ C.L.}$$

Factor of five better than previous results

$$\epsilon + \epsilon'_{000} = |\eta_{000}| = \left| \frac{A(K_S \rightarrow 3\pi^0)}{A(K_L \rightarrow 3\pi^0)} \right| = \sqrt{\frac{\tau_L \text{BR}(K_S \rightarrow 3\pi^0)}{\tau_S \text{BR}(K_L \rightarrow 3\pi^0)}} \leq 0.0088 \quad \text{at } 90\% \text{ C.L.}$$

KLOE-2 has a chance to observe $K_S \rightarrow \pi^0 \pi^0 \pi^0$ decay
for the first time in the near future

$$Br(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})$$

HOLY GRAIL OF FLAVOUR PHYSICS?

Why it is so special:

1. Apart from a small admixture ($\epsilon_K \sim 2.228 \cdot 10^{-3}$), K_L^0 is a CP eigenstate. Neglecting the CP-even state we can write:

$$\begin{aligned} \langle \pi^0 \nu \bar{\nu} | A | K^0 \rangle &\sim V_{td} V_{ts}^* X(x_t) + P_c(X) V_{cd} V_{cs}^* \\ \langle \pi^0 \nu \bar{\nu} | A | \bar{K}^0 \rangle &\sim V_{td}^* V_{ts} X(x_t) + P_c(X) V_{cd}^* V_{cs} \end{aligned} \quad |K_L^0\rangle \sim \frac{K^0 - \bar{K}^0}{\sqrt{2}}$$

2. In taking the difference, the charm part (which is almost real) drops off and only the imaginary part of the top contribution remains!

$$\langle \pi^0 \nu \bar{\nu} | A | K_L^0 \rangle \sim \text{Im} V_{td} V_{ts}^* X(x_t)$$

3. The main experimental background ($K_L^0 \rightarrow \pi^0 \pi^0$) is suppressed by CP conservation !
4. The very long life time of the K_L^0 makes the interesting partial width “measurable” ($Br \sim O(10^{-11})$)

$Br(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})$

Formulas from A.J. Buras et al. RMP 80, 2008

$$Br(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \times \left(\frac{\text{Im} \lambda_t}{\lambda^5} X(x_t) \right)^2$$

$$\kappa_L = (2.231 \pm 0.013) \times 10^{-10} \left[\frac{\lambda}{0.225} \right]^8$$

Numerical example:

$$\lambda_i = V_{id} V_{is}^*$$

$$\text{Im} V_{td} V_{ts}^* = \sin \beta_K |V_{td} V_{ts}^*| \sim 1.29 \times 10^{-4}$$

$$X(x_t) \sim 1.44$$

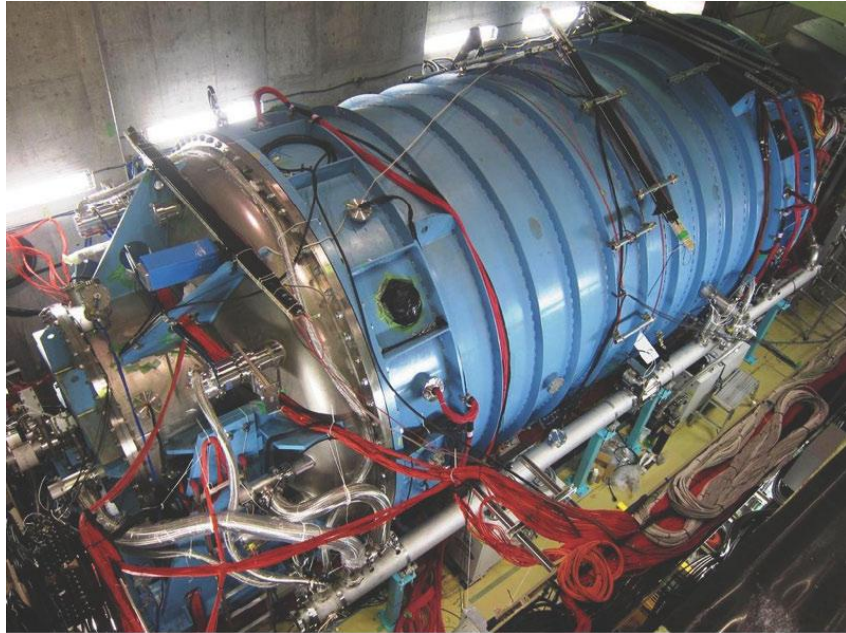
$$\lambda = \frac{|V_{us}|}{\sqrt{|V_{ud}|^2 + |V_{us}|^2}}$$

$$Br(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) \sim 2.3 \times 10^{-11}$$

EXPERIMENT: BR < 2.6 10⁻⁸ 90%CL (E391a - KEK)

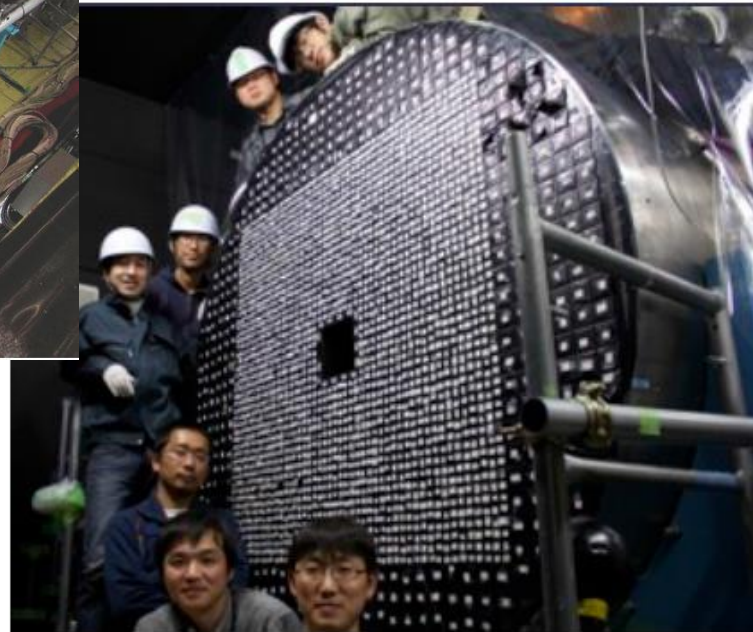
NEXT EXPERIMENT: KOTO (E14, J-PARC)

KOTO - JPARC



Vacuum tank from E391a

Pure CsI recovered from
FNAL KTeV Experiment



Current SES based on 100 h run in 2013 (Preliminary): 1.29×10^{-8}

Expect “nominal” beam intensity in 2017

$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu})$$

$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ (1 + \Delta_{EM}) \times$$

$$\left[\left(\frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2 + \left(\frac{\text{Re } \lambda_c}{\lambda} P_c(X) + \frac{\text{Re } \lambda_t}{\lambda^5} \right)^2 \right]$$

$$\kappa_+ = (5.173 \pm 0.025) \times 10^{-11} \left[\frac{\lambda}{0.225} \right]^8$$

$$\lambda = \frac{|V_{us}|}{\sqrt{|V_{ud}|^2 + |V_{us}|^2}}$$

$$\kappa_+ = r_{K^+} \cdot \frac{3\alpha^2 Br(K^+ \rightarrow \pi^0 e^+ \nu)}{2\pi^2 \sin^4 \theta_W} \cdot \lambda^8$$

$$\lambda_i = V_{id} V_{is}^*$$

$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ (MY NUMEROLOGY)

$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \propto 1.56 \times 10^{-4} \times$$

$$\left[|V_{td} V_{ts}^*|^2 X(x_t)^2 + 2\lambda^5 P_c(X) |V_{td} V_{ts}^*| X(x_t) \cos \beta_K + \lambda^{10} P_c(X)^2 \right] \approx$$

$$\left[4.40 + 3.68 + 0.87 \right] \times 10^{-11} =$$

$$8.95 \times 10^{-11}$$

The charm- top-quark interference term is comparatively large

$$\cos \beta_K = \cos \beta - \beta_s \approx 0.94$$

$$|V_{td} V_{ts}^*| \sim 3.69 \times 10^{-4} \quad (\text{PDG 2014})$$

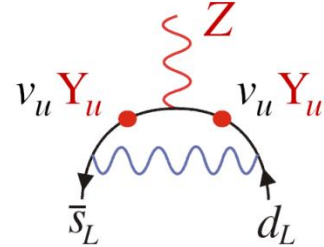
$$X(x_t) \sim 1.44 \quad (\text{Buras et al.})$$

$$P_c(X) = 0.41 \pm 0.05 \quad (\text{Buras et al.})$$

For this set of values the m_c the parametric uncertainty is:
 $\delta Br / Br \sim 0.68 \delta P_c / P_c$

Kaon Rare Decays and NP

C. The Z penguin (and its associated W box)

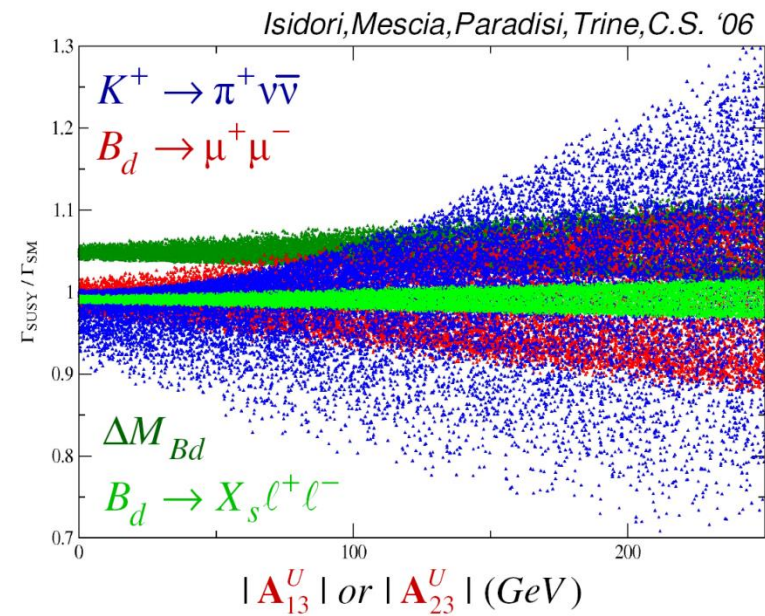
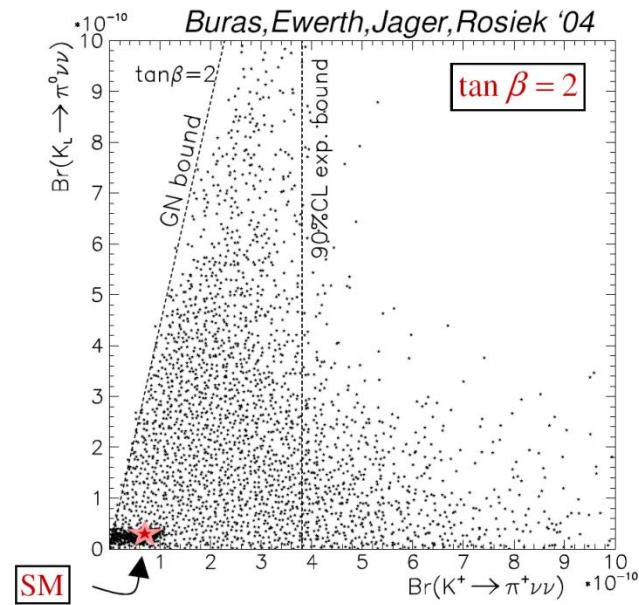


- $SU(2)_L$ breaking: $SM : v_u^2 Y_u^{*32} Y_u^{31} \sim m_t^2 V_{ts}^* V_{td}$

$MSSM : v_u^2 A_{\tilde{u}}^{*32} A_{\tilde{u}}^{31} \sim m_t^2 \times O(1)?$

$MFV : v_u^2 A_{\tilde{u}}^{*32} A_{\tilde{u}}^{31} \sim m_t^2 V_{ts}^* V_{td} |A_0 a_2^* - \cot \beta \mu|^2.$

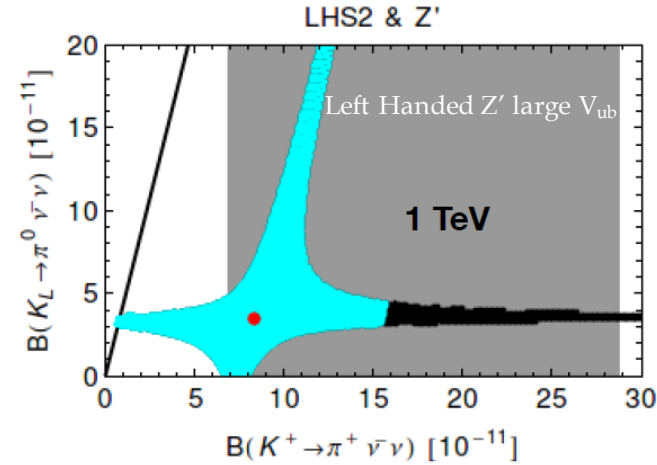
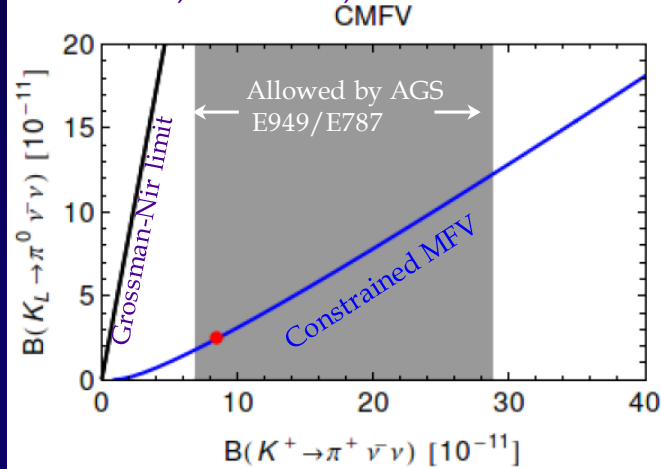
- Relatively slow decoupling (w.r.t. boxes or tree).




(courtesy by Christopher Smith)

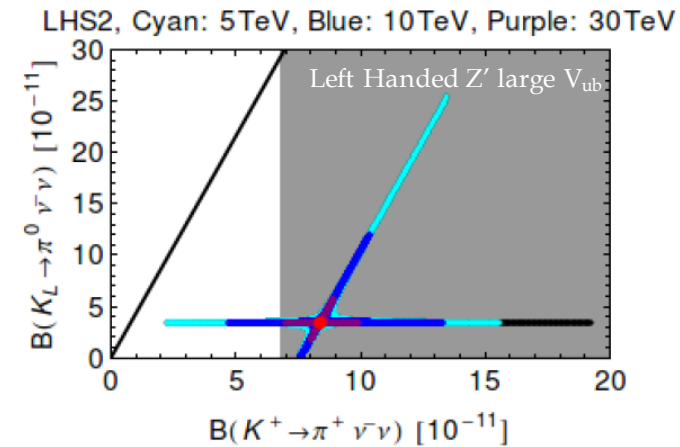
Rare K decay sensitivity to flavor violating Z'

Buras, De Fazio, Girrbach arXiv:1211.1896



Sensitivity to $M_{Z'}$ beyond the LHC

 : forbidden by $K_L \rightarrow \mu^+ \mu^-$



Sensitivity beyond direct searches

CHARGED K BEAMS

“Stopped”

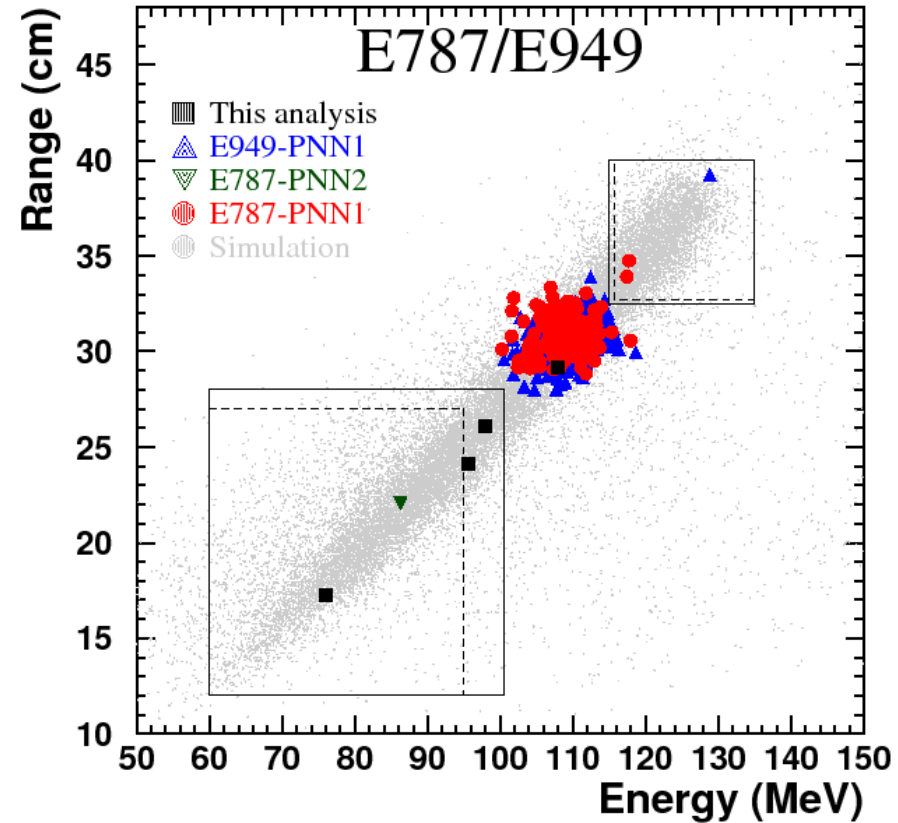
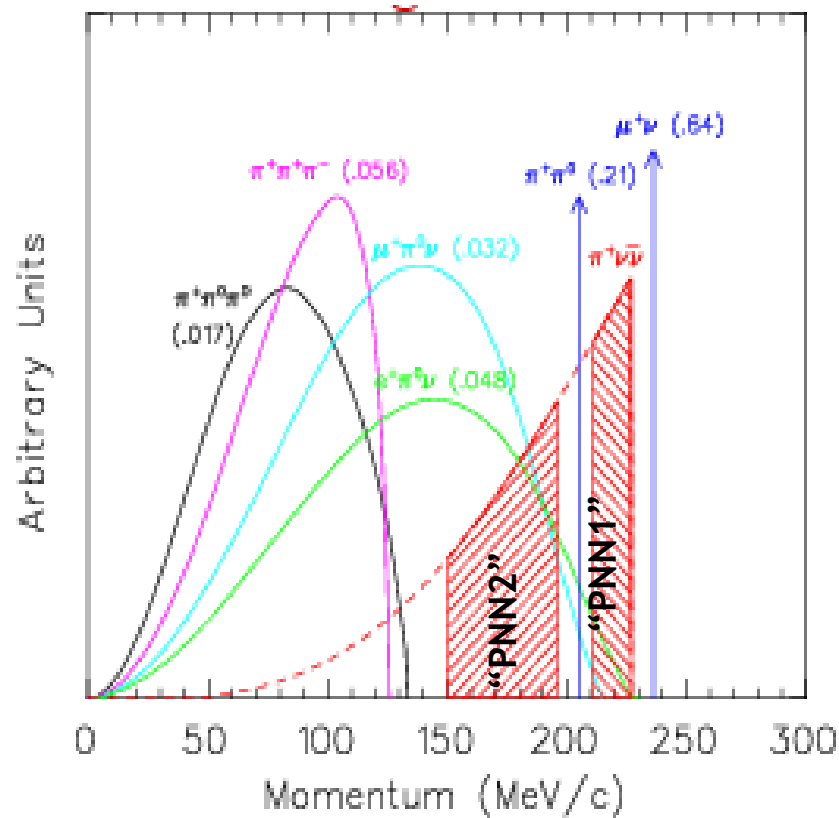
- Work in Kaon frame
- High Kaon purity (Electro-Magneto-static Separators)
- Compact Detectors

“In-Flight”

- Decays in vacuum (no scattering, no interactions)
- RF separated or Unseparated beams
- Extended decay regions

| Exp | Machine | Meas. or UL 90% CL | Notes |
|------|----------|--|--|
| | Argonne | $< 5.7 \times 10^{-5}$ | Stopped; HL Bubble Chamber |
| | Bevatron | $< 5.6 \times 10^{-7}$ | Stopped; Spark Chambers |
| | KEK | $< 1.4 \times 10^{-7}$ | Stopped; $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ |
| E787 | AGS | $(1.57^{+1.75}_{-0.82}) \times 10^{-10}$ | Stopped |
| E949 | AGS | $(1.73^{+1.15}_{-1.05}) \times 10^{-10}$ | Stopped; PPN1+PPN2 |
| NA62 | SPS | | In-Flight; Unseparated |

STATE OF THE ART: E787/E949 DECAYS AT REST

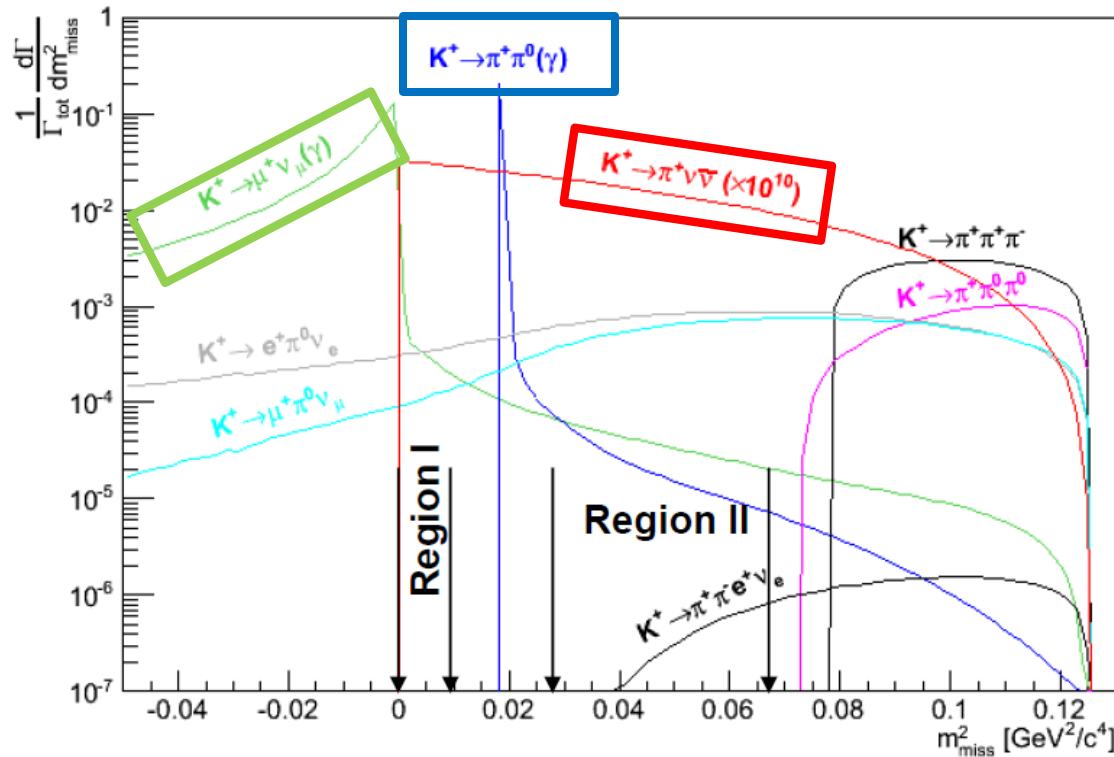
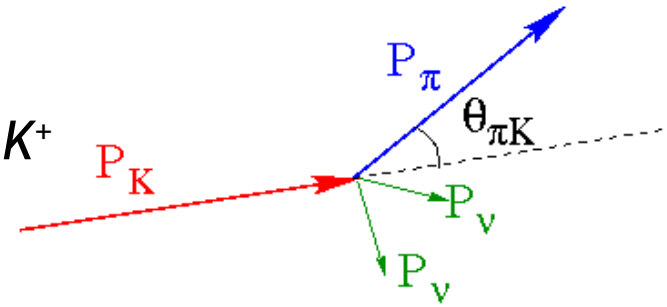


$$B(K^+ \rightarrow \pi^+ \nu\bar{\nu}) = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$$

NA62 IN-FLIGHT TECHNIQUE



- Calorimetry to veto extra particles
- Very light trackers to reconstruct the K^+ and the π^+ momenta
- Full particle identification



$$m_{miss}^2 = (P_K - P_{\pi^+})^2$$

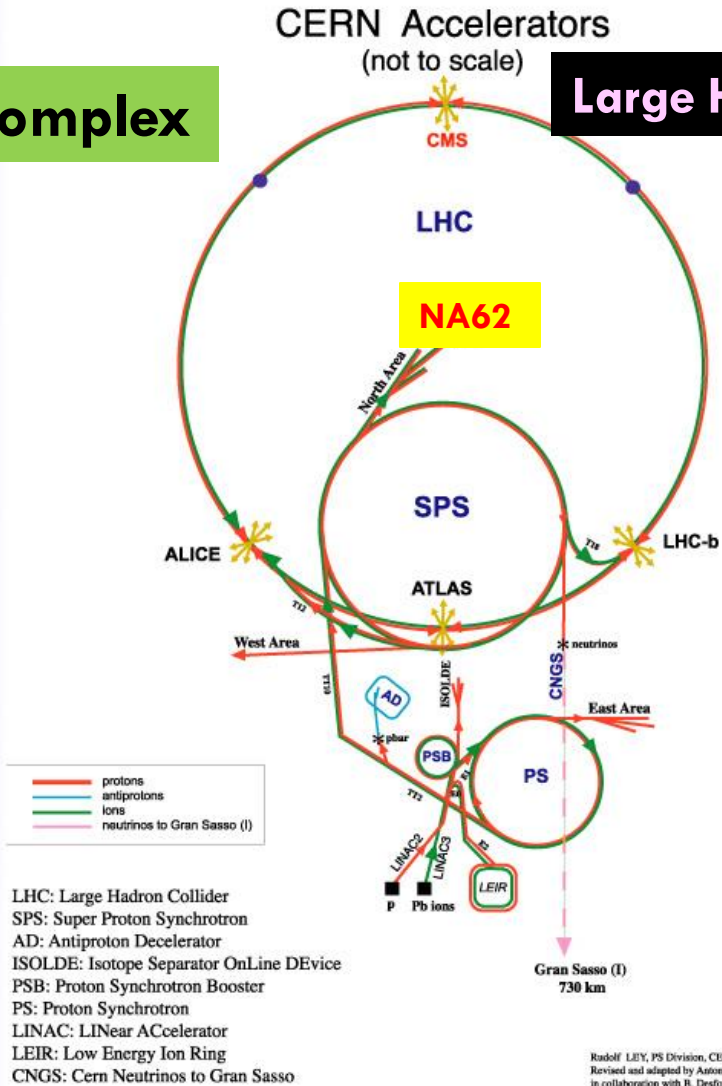
CERN ACCELERATORS

A unique complex

Large Hadron Collider

Extracted beams:
Muon, K, Ions, p

Neutrinos to
Gran Sasso
(until 2012)



Rudolf LEY, PS Division, CERN, 02.09.96
 Revised and adapted by Antonella Del Rosso, ETT Div
 in collaboration with B. Desforges, SI, Div., and
 D. Manglinski, PS Div, CERN, 23.05.01



$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Analysis Sensitivity (MC)

| Decay | event/year |
|---|----------------|
| $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ [SM] (flux 4.5×10^{12}) | 45 |
| $K^+ \rightarrow \pi^+ \pi^0$ | 5 |
| $K^+ \rightarrow \mu^+ \nu$ | 1 |
| $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ | < 1 |
| $K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ + other 3 tracks decays | < 1 |
| $K^+ \rightarrow \pi^+ \pi^0 \gamma$ (IB) | 1.5 |
| $K^+ \rightarrow \mu^+ \nu \gamma$ (IB) | 0.5 |
| $K^+ \rightarrow \pi^0 e^+ (\mu^+) \nu$, others | negligible |
| Total background | < 10 |

CERN NA62 EXPERIMENT



- Picture taken just before beam in 2014
- Beam time 2015: June 22 - November 15

NA62 DETECTOR



SM

View of ECN3

LAV 1-5 in TTC8



SM

NA62 DETECTOR



Straw3 - LAV10 - MNP33 -
Straw2 - LAV9

SM

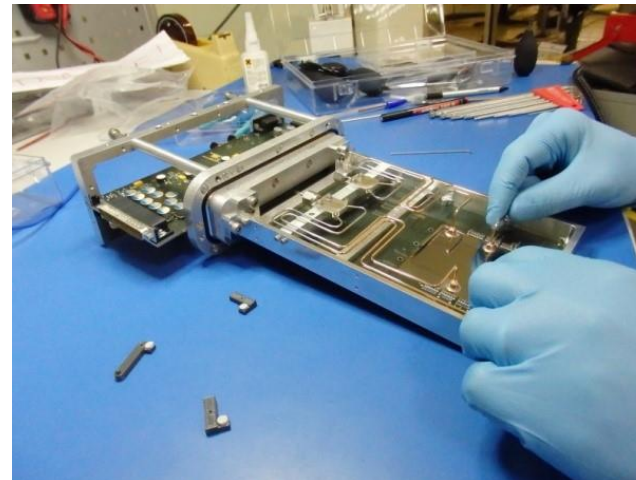
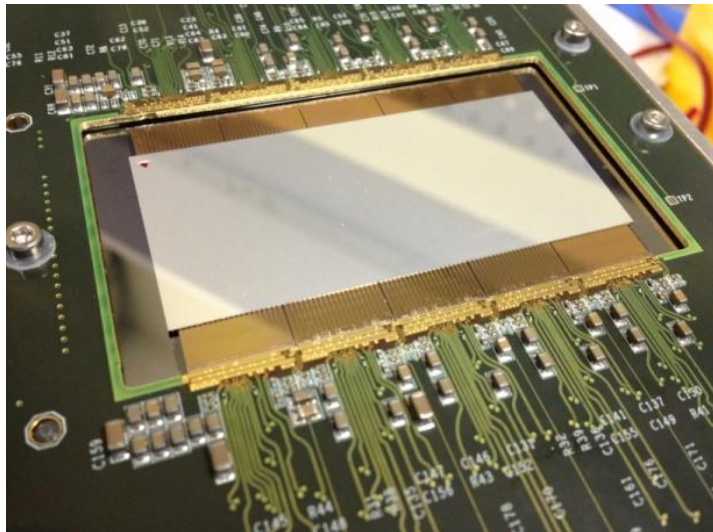
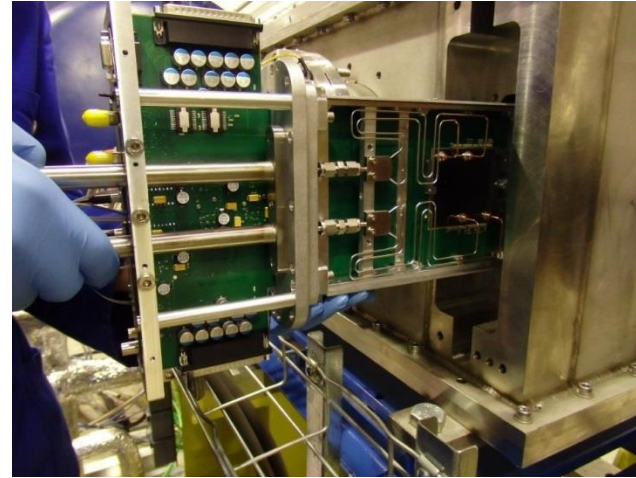
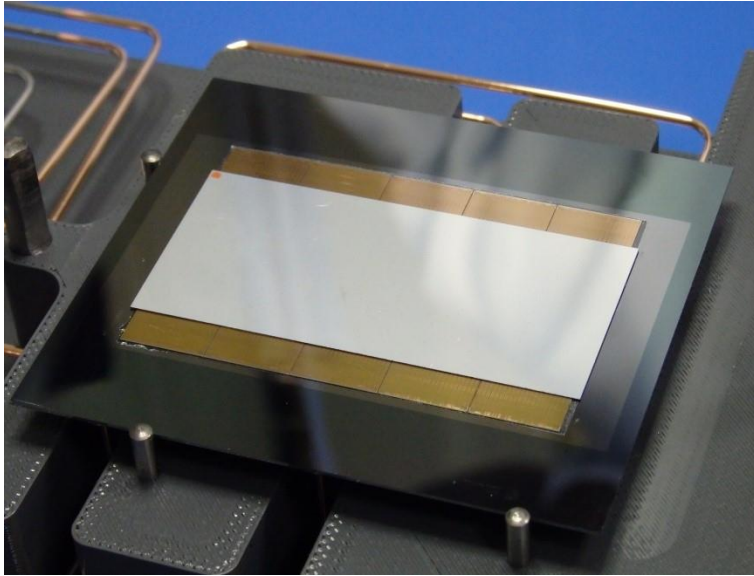
RICH Straw 4 and LAV11



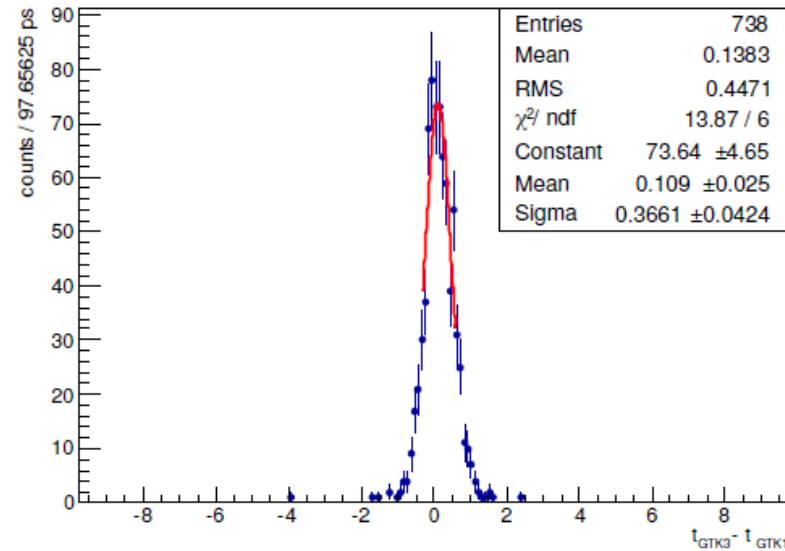
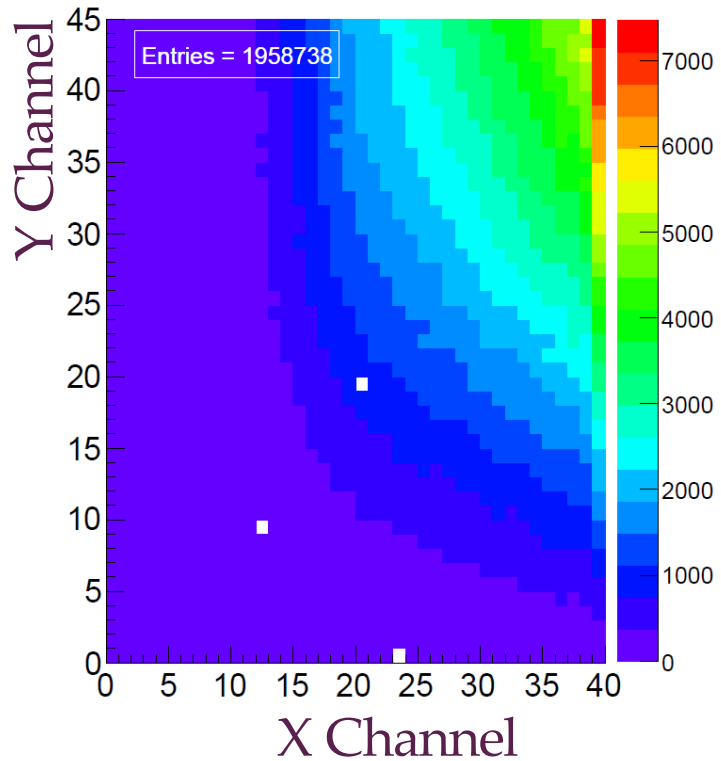
SM

GIGATRACKER (GTK)

CERN (PH-DT, PH-ESE, PH-SME, EN,...)
Ferrara, Louvain-la-Neuve, Torino



GIGATRACKER PERFORMANCE



After ToT correction

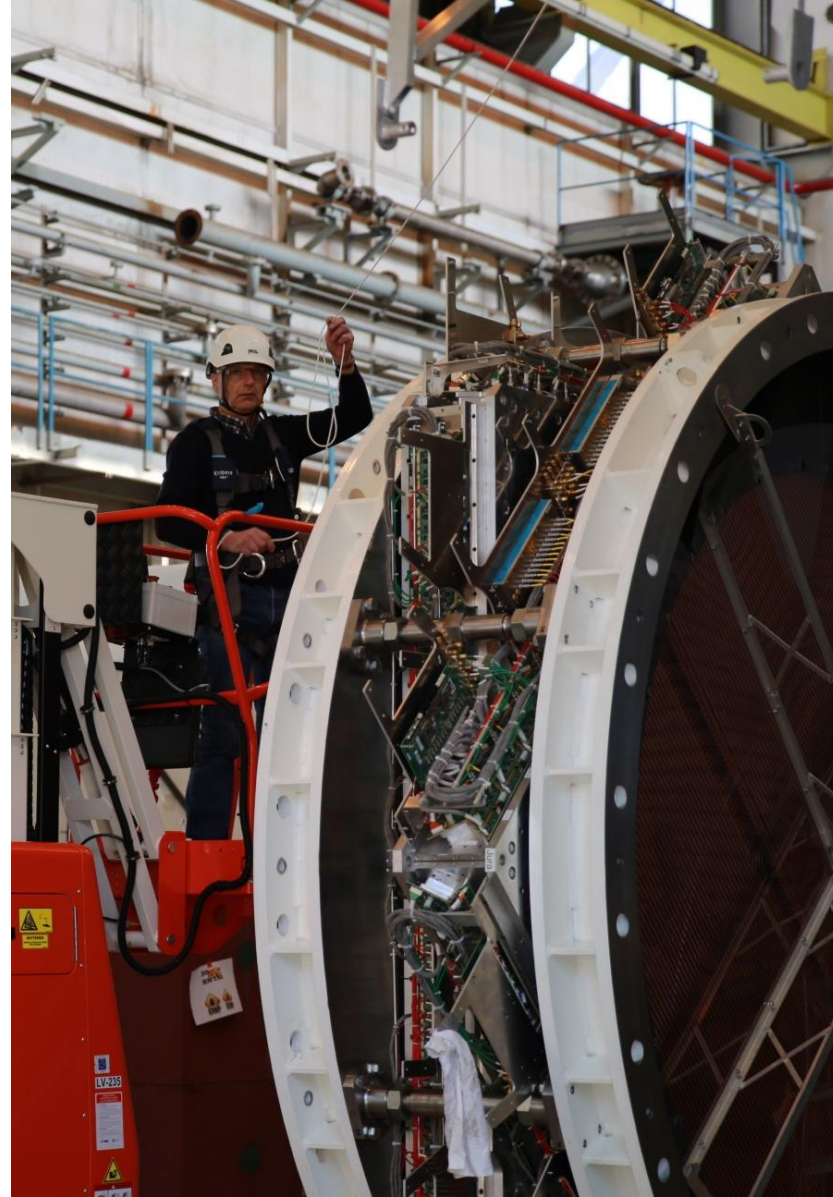
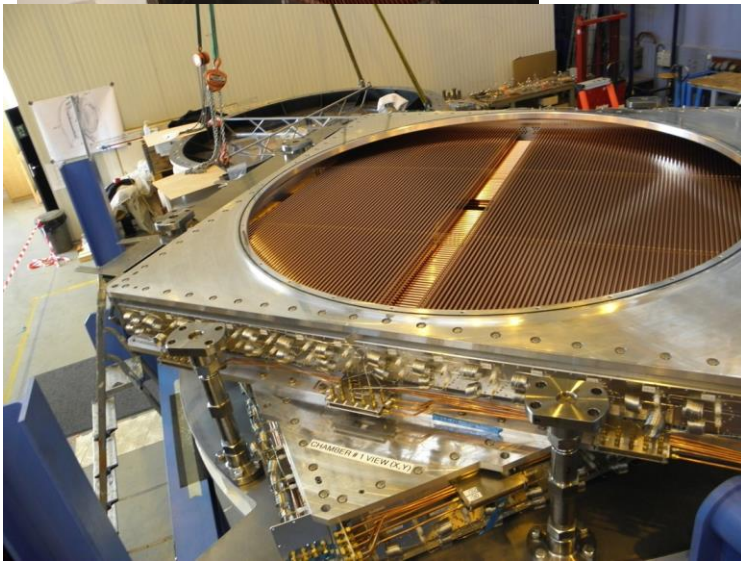
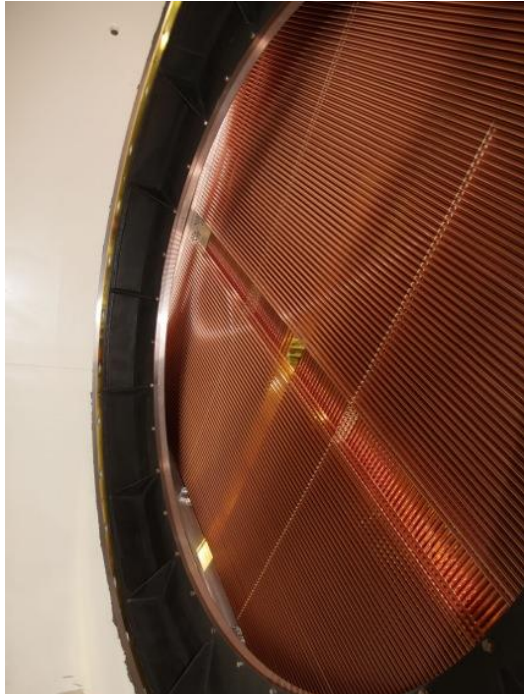
Time resolution~ 260 ps /station
In line with expectations for
HV= 200 V

K12 Beam; Illumination of one GTK chip

NA62 STRAW TRACKER

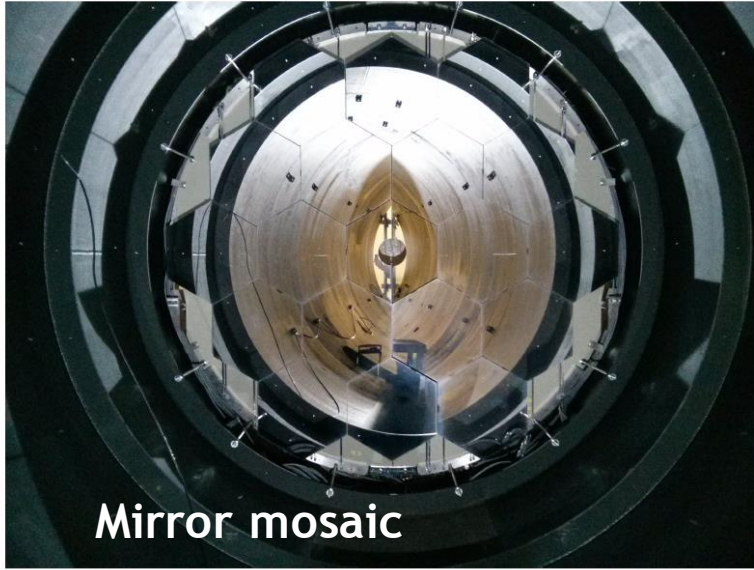


CERN (PH-DT, PH-ESE, PH-SME) - JINR

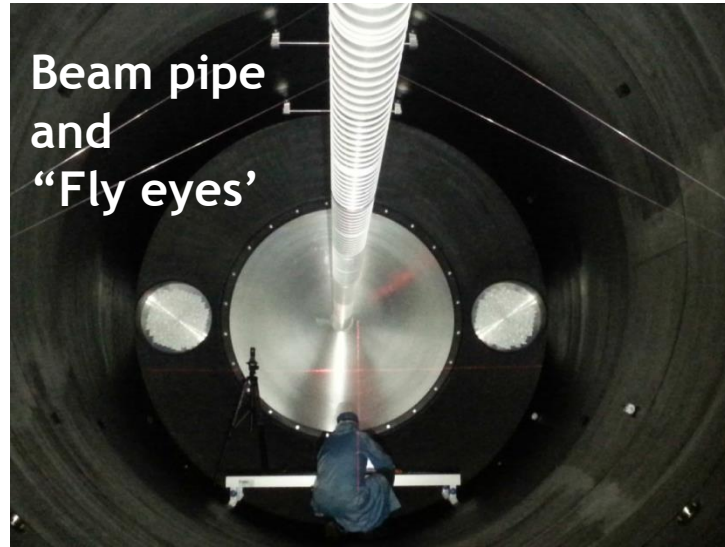


NA62 RICH

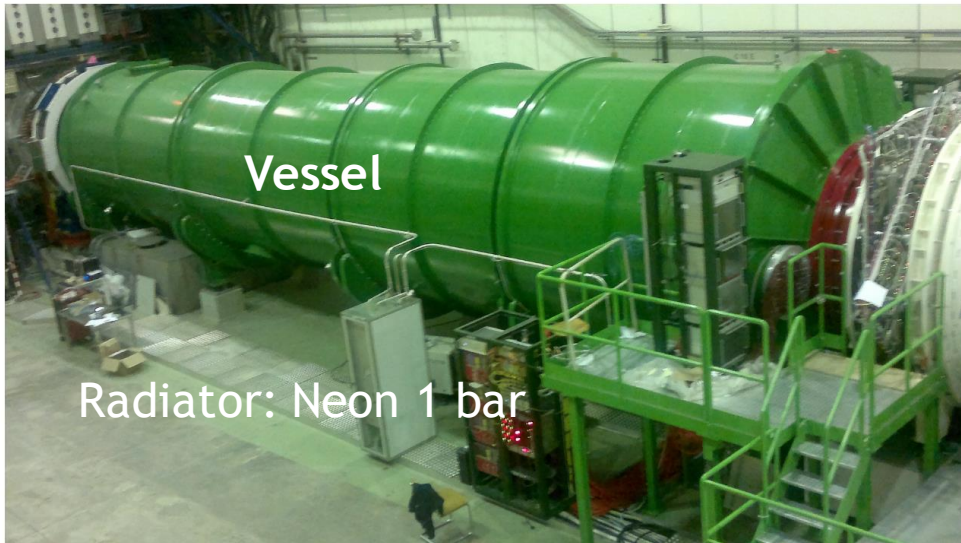
CERN (PH-DT,..), Firenze, Perugia



Mirror mosaic



Beam pipe and "Fly eyes"



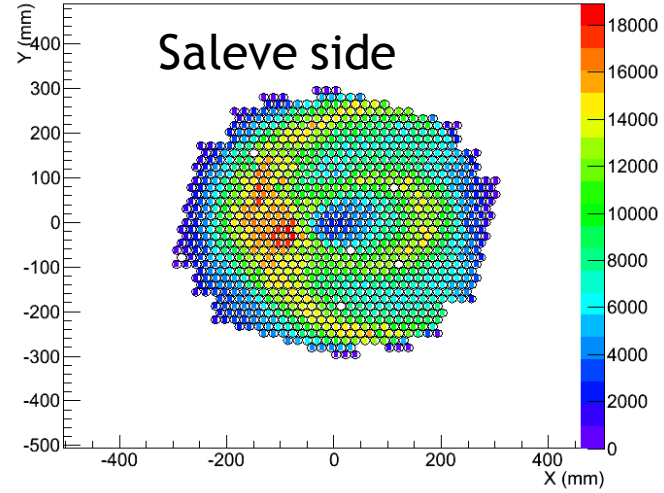
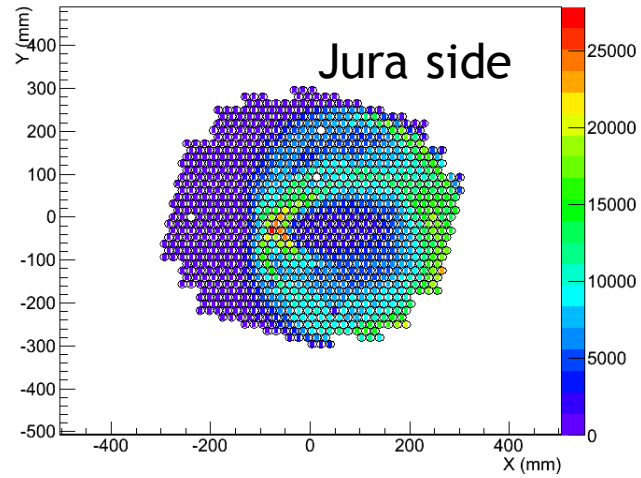
Vessel

Radiator: Neon 1 bar

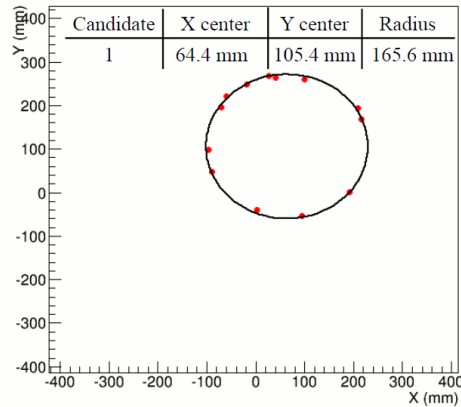


F/E Electronics

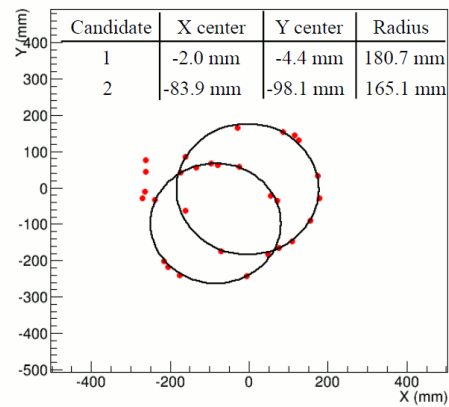
RICH DATA



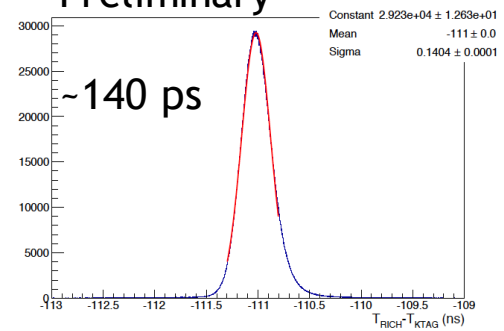
Single event Saleve side



Single event Saleve side



Preliminary

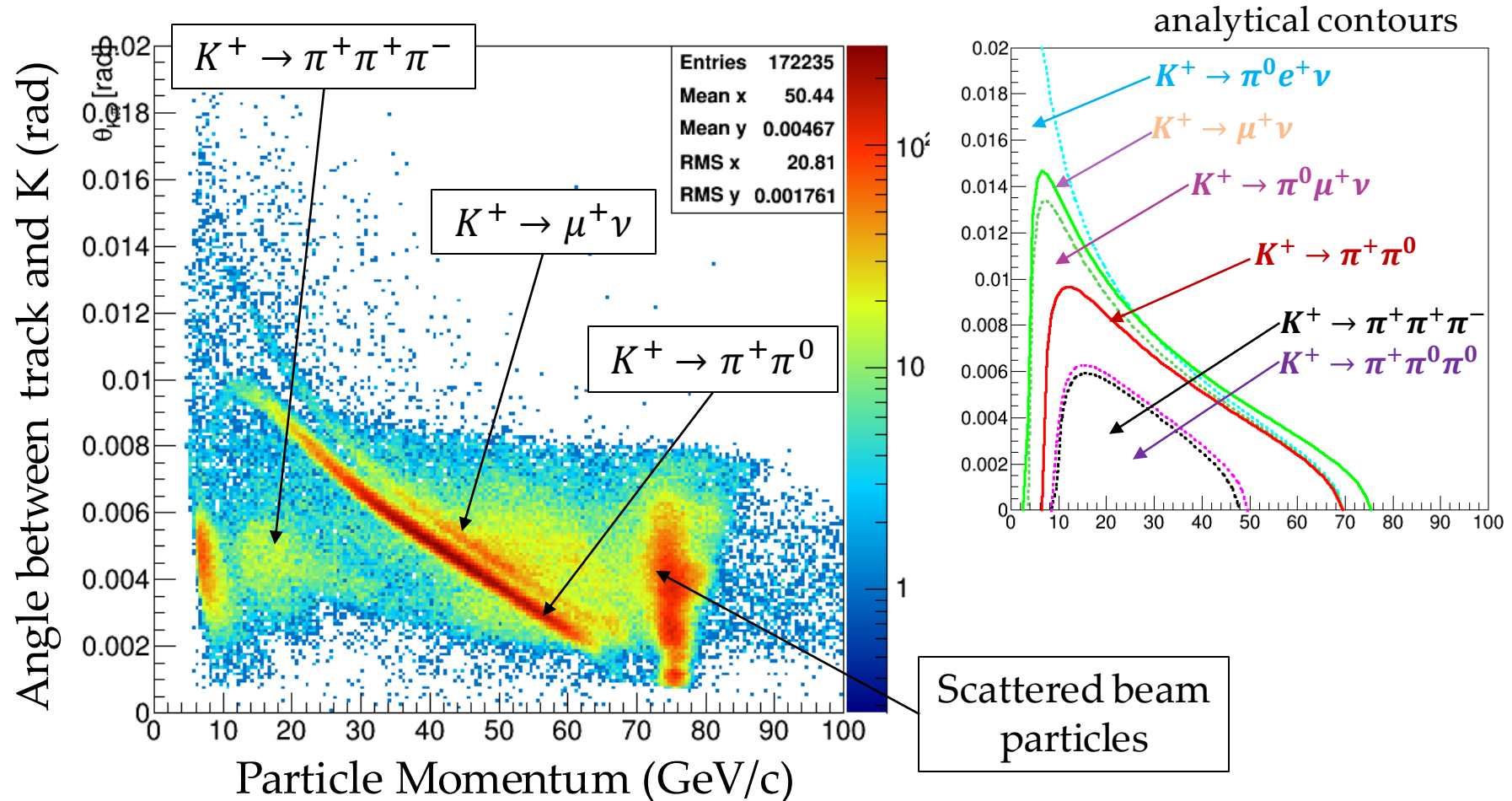


RICH-KTAG

2014 DATA QUALITY



- Events with only 1 track in the spectrometer reconstructed (within 40 ns)
- 10^2 muon rejection at trigger level



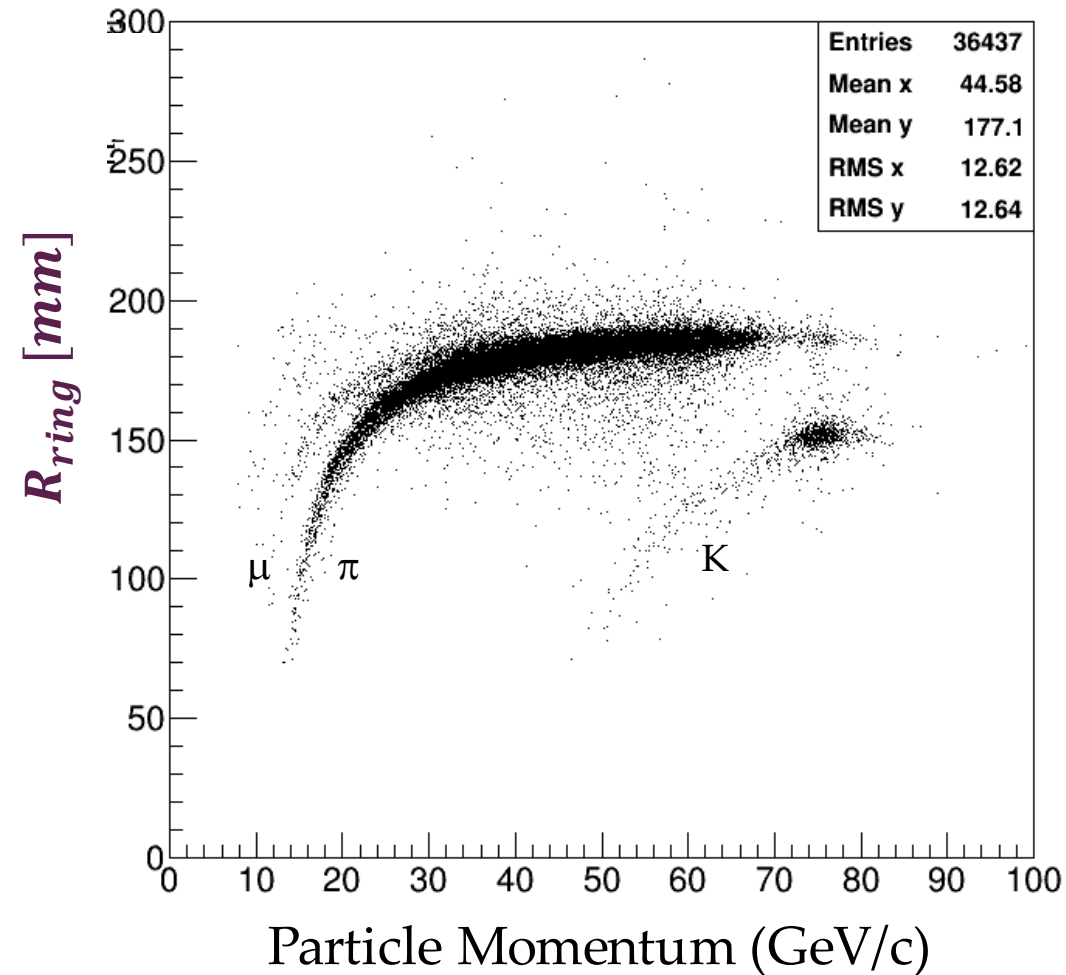
CERN-EP Seminar by Giuseppe Ruggiero, March 10, 2015:

<https://indico.cern.ch/event/360237/>

2014 DATA QUALITY



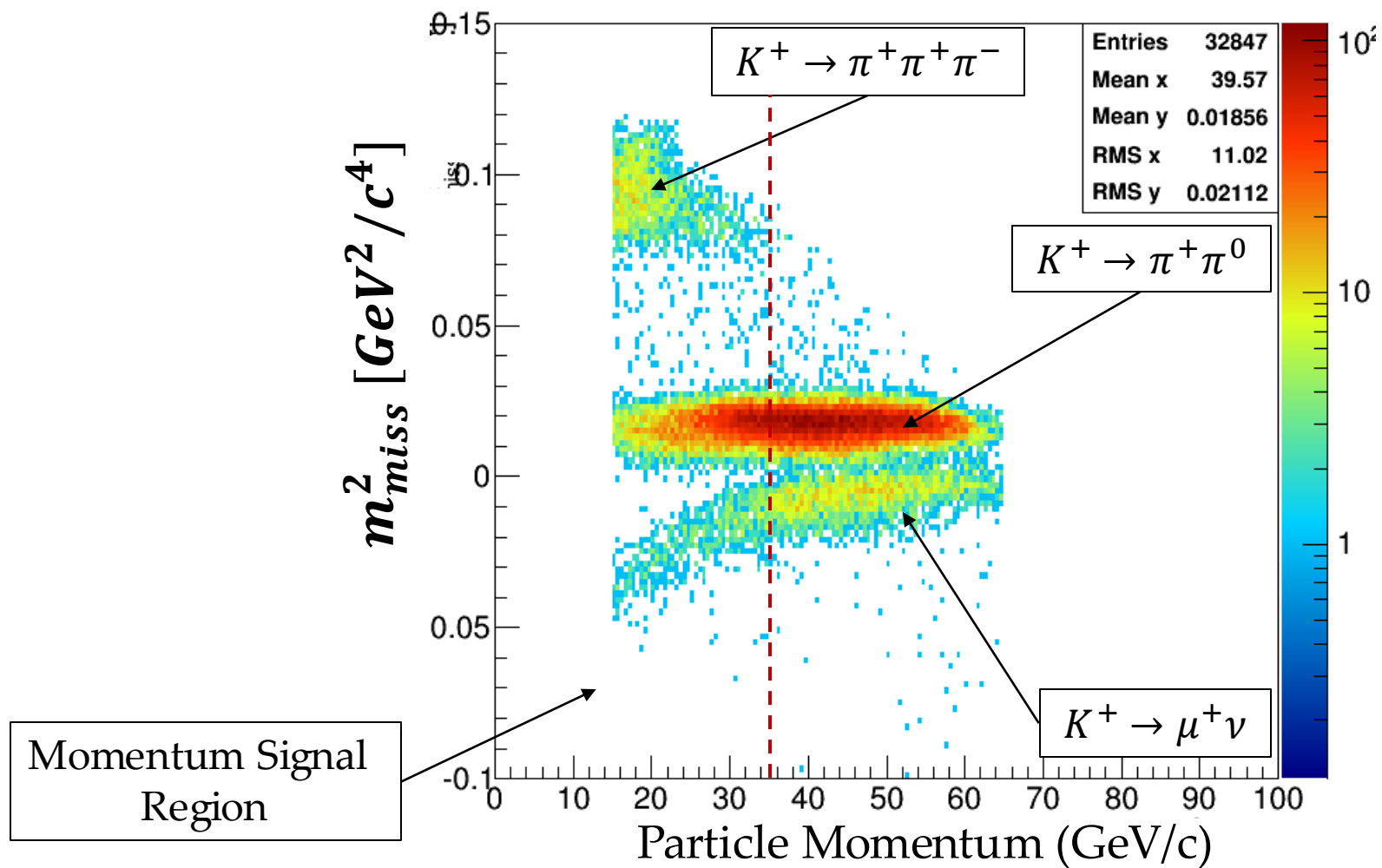
- Matching between track and RICH ring to study the particle content
- Positrons suppressed by the trigger



2014 DATA QUALITY



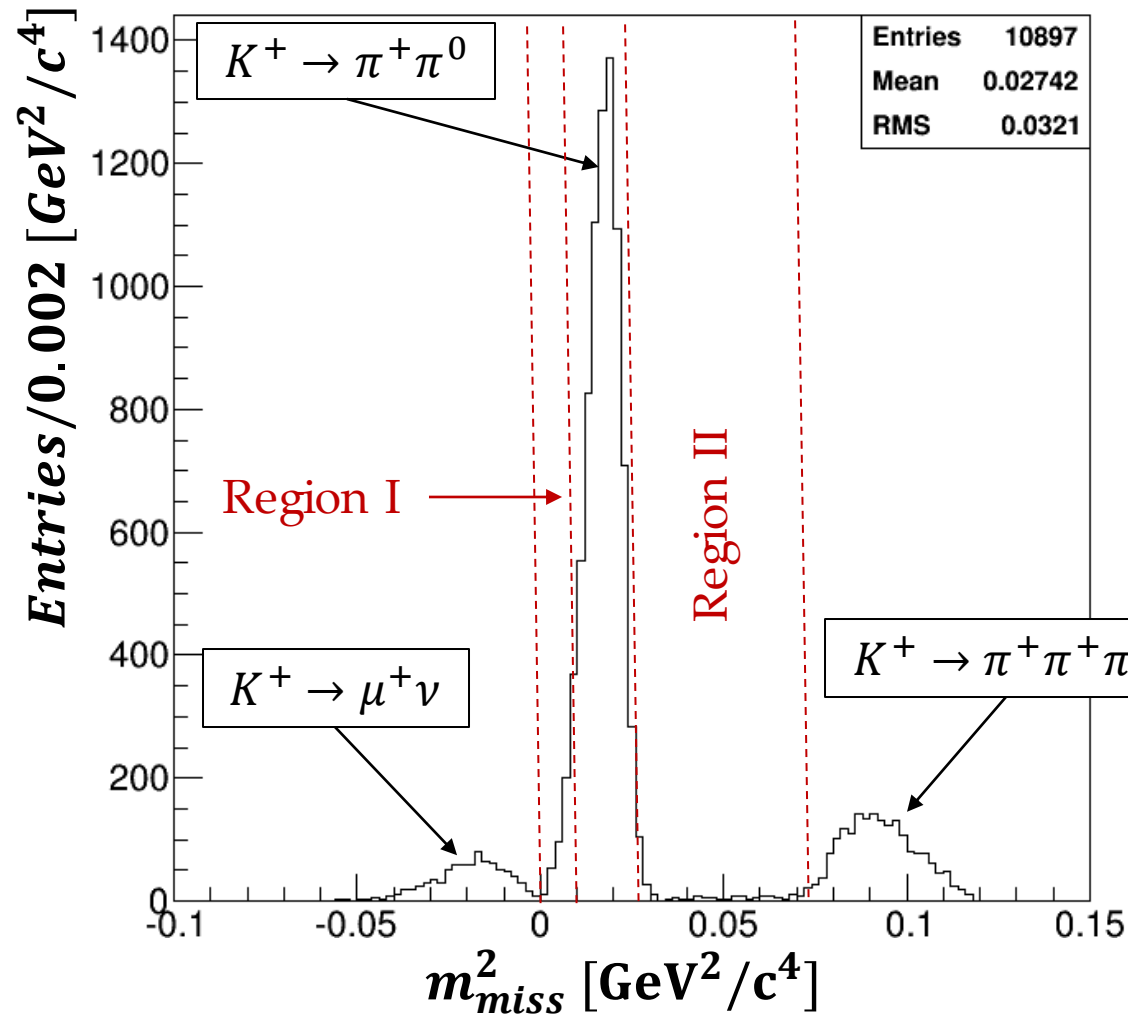
$$m_{miss}^2 = (P_K - P_{\pi^+})^2$$



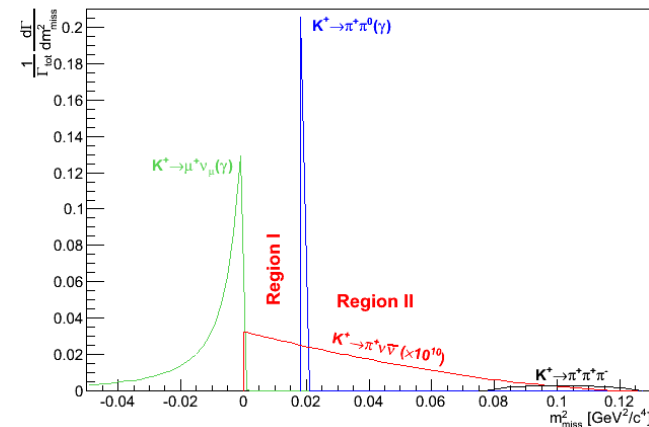
2014 DATA QUALITY



$P < 35 \text{ GeV}/c$



theoretical shapes

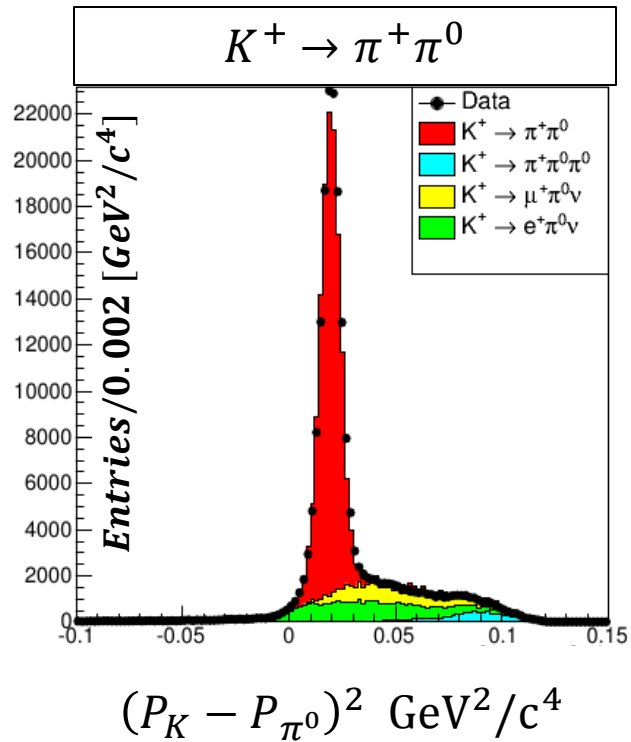


CONTROL SAMPLES

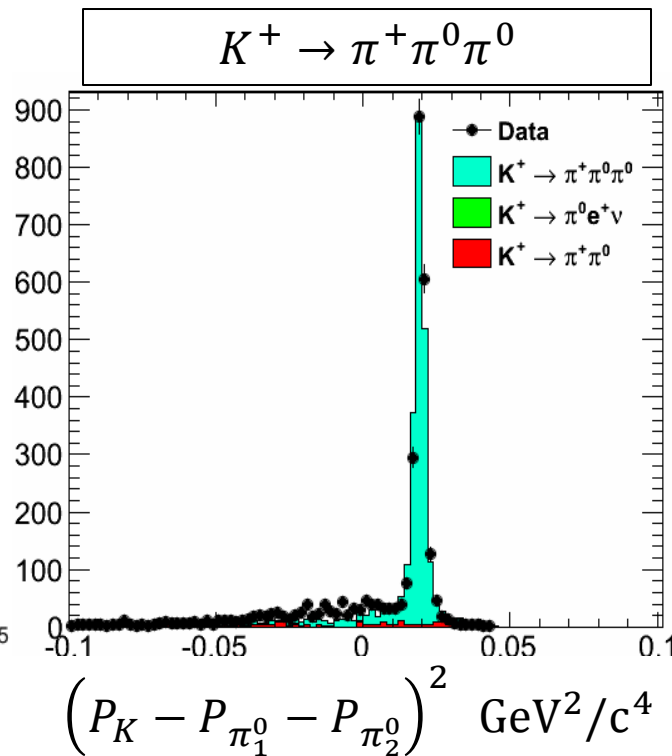


- ✗ Kaon decay modes reconstructed with the liquid Krypton calorimeter only (from minimum bias data)
- ✗ Useful to measure the kinematic suppression factor, particle ID efficiency ...

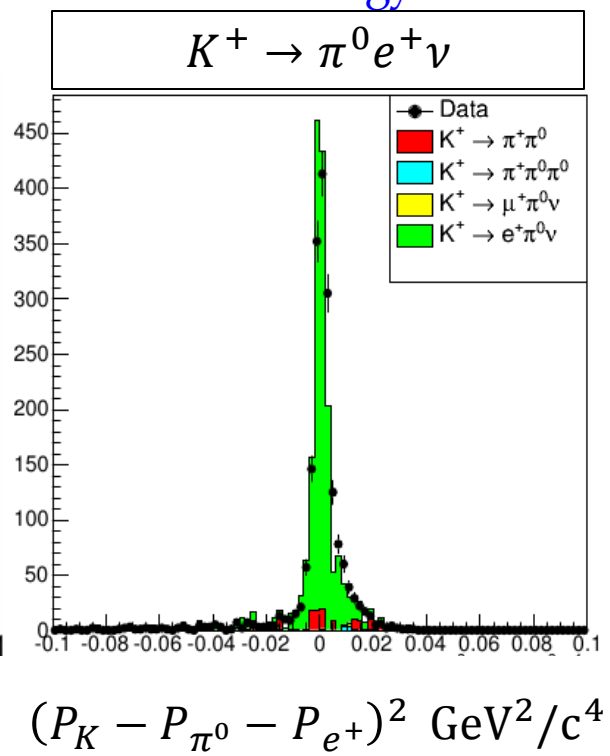
π^0 mass assumed



π^0 mass assumed



π^0 mass assumed
and e^+ energy



Further NA62 K Physics Program

| Decay | Physics | Present limit (90% C.L.) / Result | NA62 |
|---|--------------------------|--|-----------------------|
| $\pi^+\mu^+e^-$ | LFV | 1.3×10^{-11} | 0.7×10^{-12} |
| $\pi^+\mu^-e^+$ | LFV | 5.2×10^{-10} | 0.7×10^{-12} |
| $\pi^-\mu^+e^+$ | LNV | 5.0×10^{-10} | 0.7×10^{-12} |
| $\pi^-e^+e^+$ | LNV | 6.4×10^{-10} | 2×10^{-12} |
| $\pi^-\mu^+\mu^+$ | LNV | 1.1×10^{-9} | 0.4×10^{-12} |
| $\mu^- \nu e^+ e^+$ | LNV/LFV | 2.0×10^{-8} | 4×10^{-12} |
| $e^- \nu \mu^+ \mu^+$ | LNV | No data | 10^{-12} |
| $\pi^+ X^0$ | New Particle | $5.9 \times 10^{-11} m_{X^0} = 0$ | 10^{-12} |
| $\pi^+ \chi\chi$ | New Particle | — | 10^{-12} |
| $\pi^+ \pi^+ e^- \nu$ | $\Delta S \neq \Delta Q$ | 1.2×10^{-8} | 10^{-11} |
| $\pi^+ \pi^+ \mu^- \nu$ | $\Delta S \neq \Delta Q$ | 3.0×10^{-6} | 10^{-11} |
| $\pi^+ \gamma$ | Angular Mom. | 2.3×10^{-9} | 10^{-12} |
| $\mu^+ \nu_h, \nu_h \rightarrow \nu \gamma$ | Heavy neutrino | Limits up to $m_{\nu_h} = 350 \text{ MeV}$ | |
| R_K | LU | $(2.488 \pm 0.010) \times 10^{-5}$ | > $\times 2$ better |
| $\pi^+ \gamma \gamma$ | χ PT | < 500 events | 10^5 events |
| $\pi^0 \pi^0 e^+ \nu$ | χ PT | 66000 events | $O(10^6)$ |
| $\pi^0 \pi^0 \mu^+ \nu$ | χ PT | - | $O(10^5)$ |

SUMMARY

Fascinating adventure in between quark mixing, CP-Violation...

Number of fermion generations...

Quarks and Leptons...

High energy frontier...

Address fundamental question: How does the world work?

Rare K decays research offer a complementary way to see beyond SM with respect to high energy colliders

Ready to harvest un unprecedented amount of kaon decays...many questions are awaiting answers...



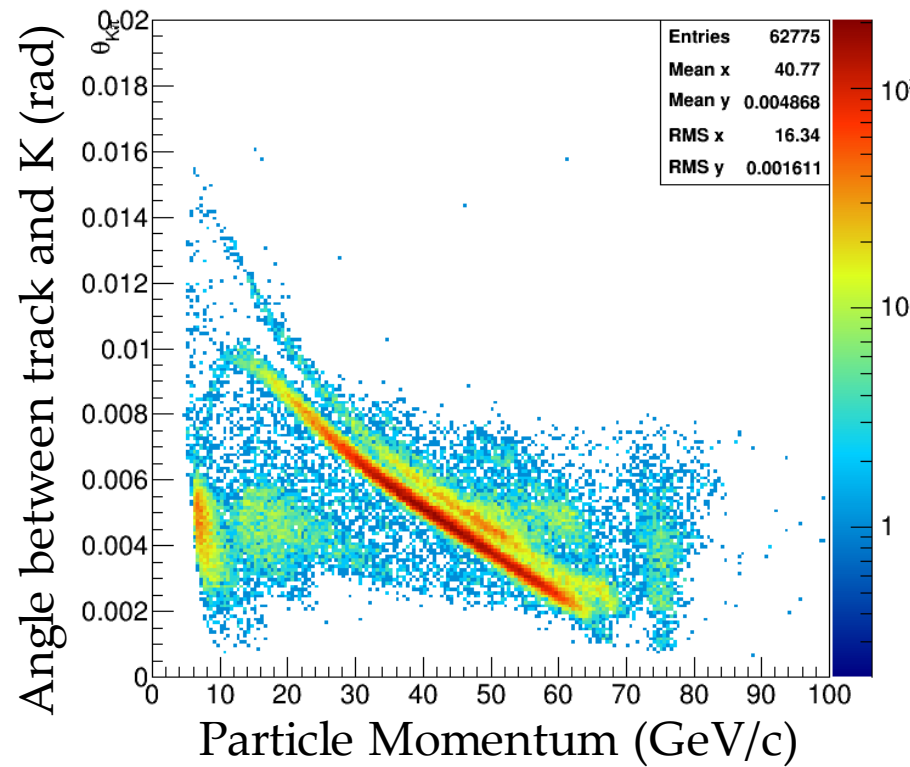
SPARE

2014 DATA QUALITY

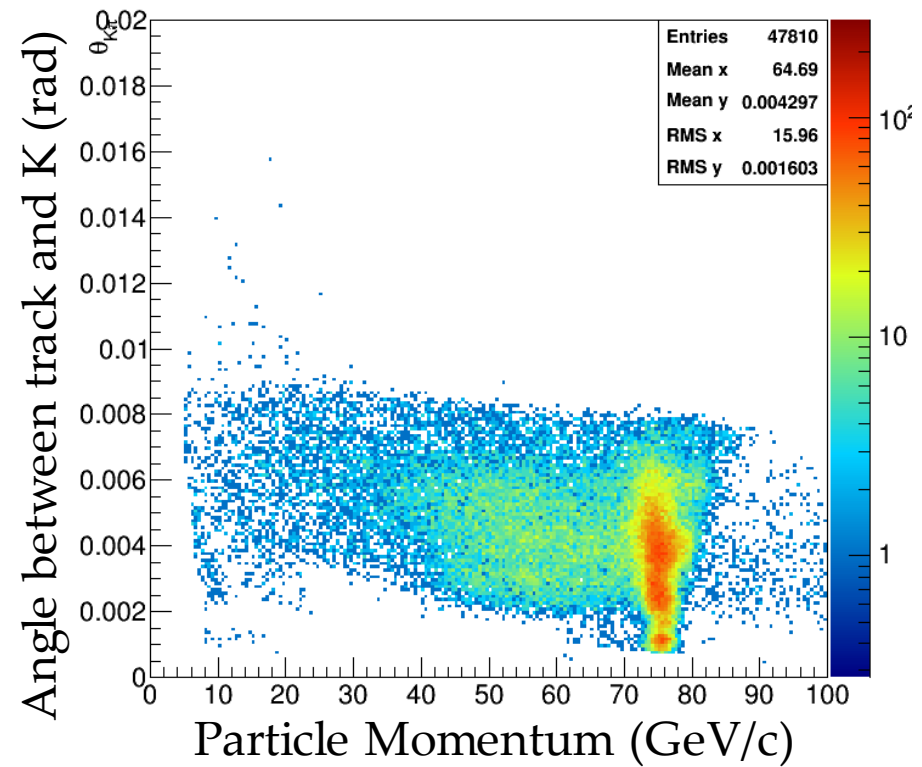


- Apply KTAG for kaon identification

KTAG candidate



No KTAG candidate



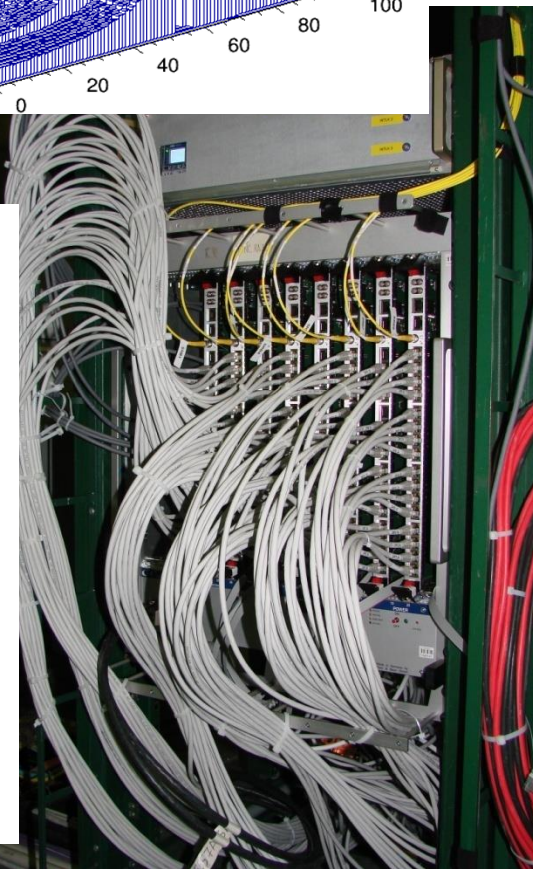
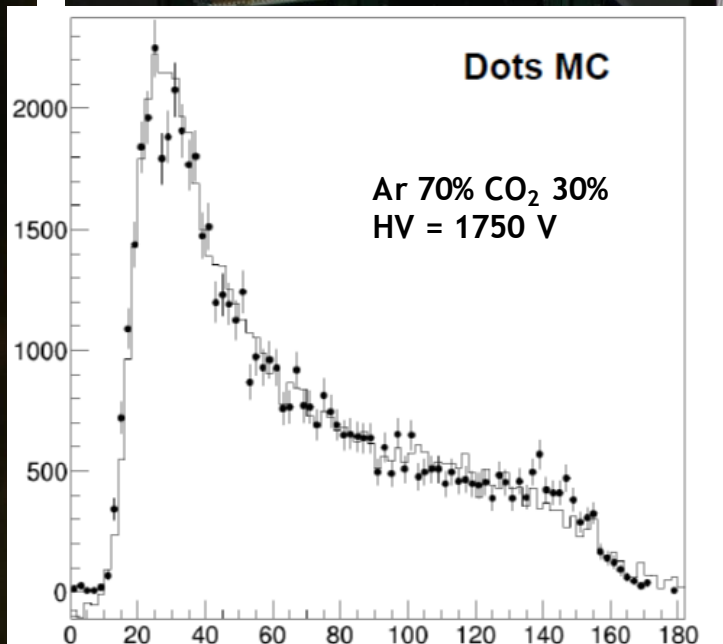
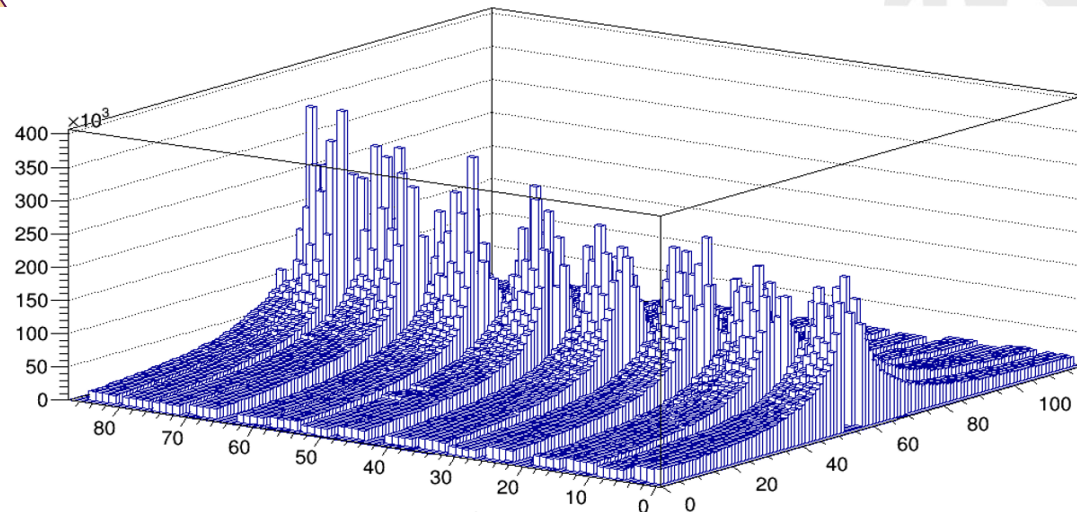
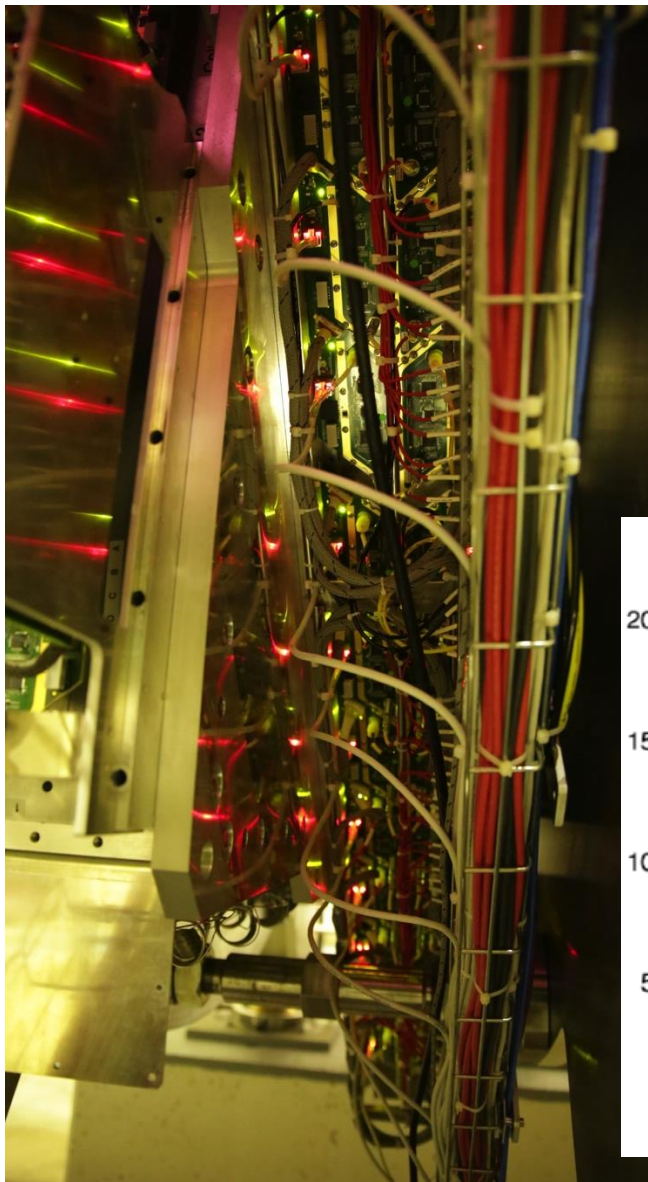
LIQUID KRYPTON READ OUT



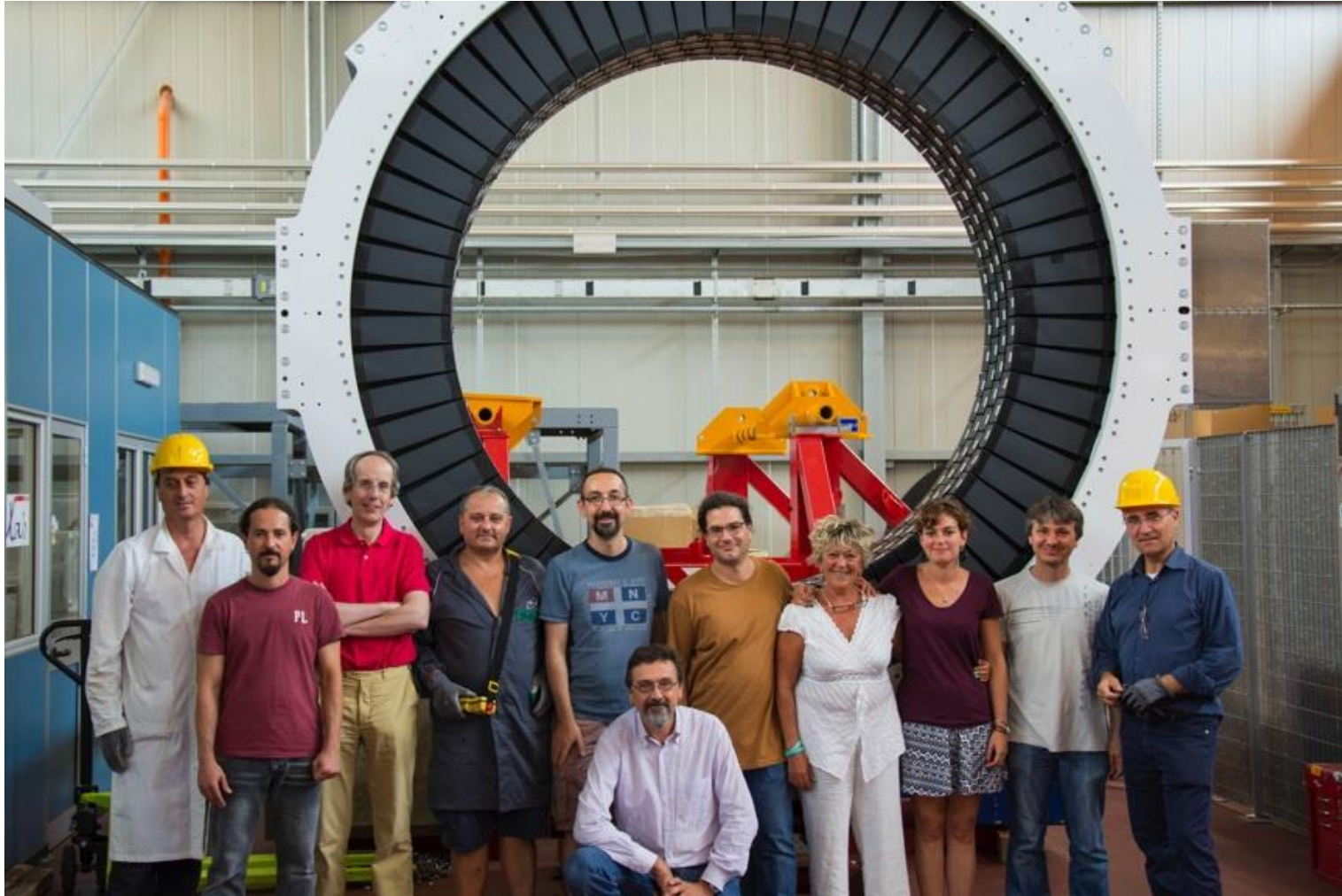
14 bit FADC, 40 Ms, 32 ch / module **432 modules, 28 VME crates**

Specifications/Tender : CERN PH-ESE,PH-SME Manufacturer: CAEN (ITALY)

STRAW TRACKER



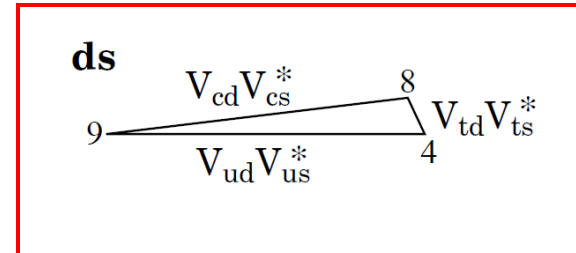
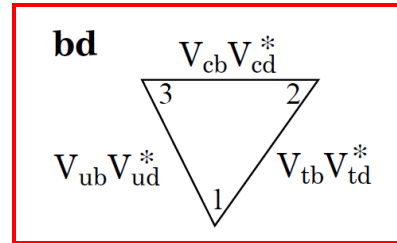
COMPLETION OF THE LAST LAV



NA62 LAV: Frascati, Naples, Pisa & Rome 1 Collaboration

UNITARITY TRIANGLE FOR KAONS

- When the bd UT is used, the variables extracted from kaons are affected by an apparent parametric uncertainty due to V_{cb}



- The six UTs are all born equal (in the SM they have the same measure of CP-violation, the Jarlskog invariant J_{CP})

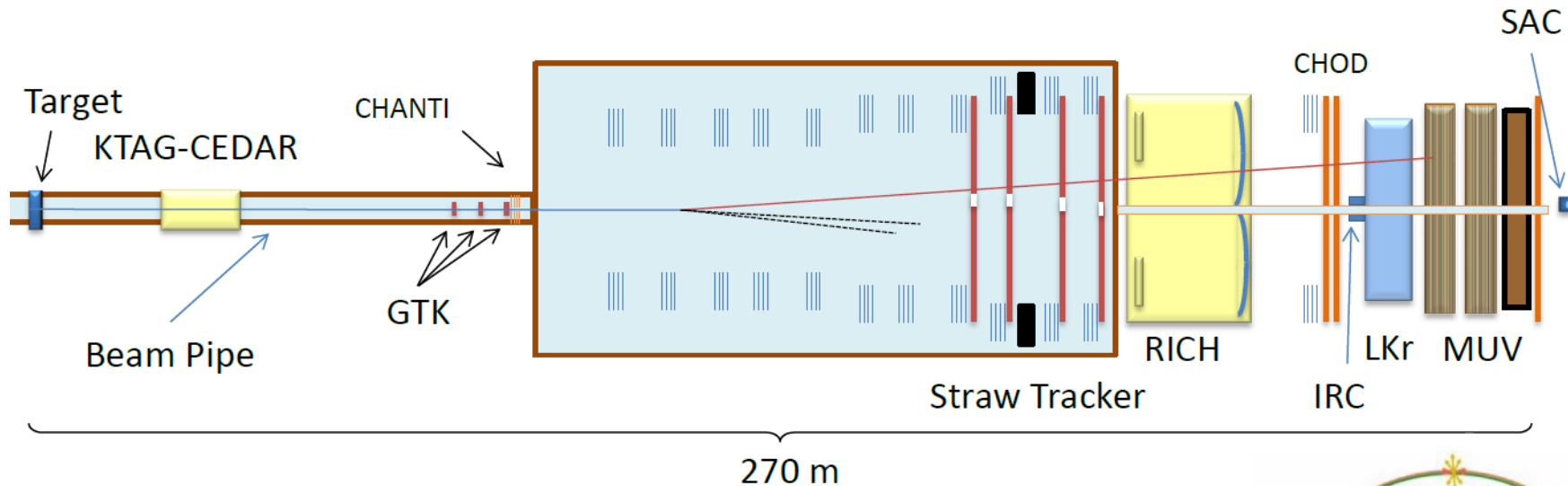
- A remarkable feature is that in the ds UT

$$J_{CP} = 5.6 * \text{sqrt}(BR(K_L \rightarrow \pi^0 \nu \nu))$$

This is a determination which is basically free from theoretical error (down to 1-2%)

- It is to be compared with the current J_{CP} determination from the bd UT fit where the error ranges from 3% to 7% depending on the treatment of the errors

NA62 SCHEMATIC LAYOUT

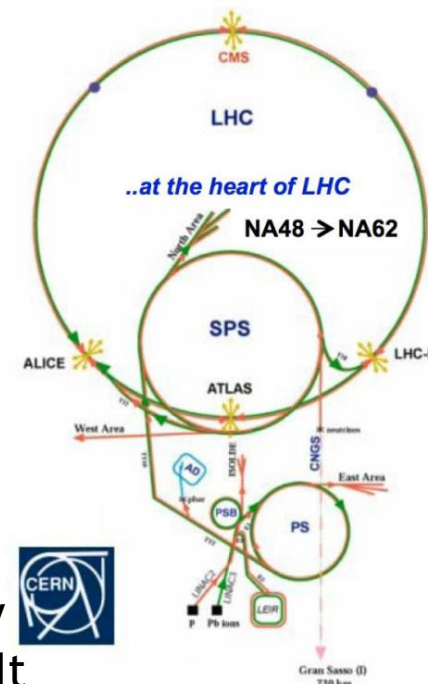


10^{12} / s protons from SPS (400 GeV/c) on Be target ($\sim 1 \lambda$)

750 MHz secondary beam: 75 GeV/c

- Positive polarity
- Kaon fraction $\sim 6\%$
- $\Delta p/p \sim 1\%$
- Useful kaon decays $\sim 10\%$ (5 MHz)

NA62 is designed for a specific “silver bullet” measurement. This requires high beam rate, full PID, hermetic coverage, very light, high-rate tracking and state-of-the-art trigger and DAQ. It paves the way to a broad physics program



LARGE ANGLE VETOES



12 Electro-magnetic calorimeters
A1-A11 in Vacuum (including PMTs)
A12 in air
Lead Glass counters from the
LEP-OPAL ECAL (~2500 blocks)

All stations Installed

A Standard Model view of CP-Violation in Kaons

Neutral Kaon Mixing ($\pi\pi$, semi-leptonic)

$$|\varepsilon| = \frac{G_F^2 f_K^2 m_K m_W^2}{12\sqrt{2}\pi^2 \Delta m_K} \hat{B}_K \left\{ \eta_1 S(x_c) \text{Im}(V_{cs} V_{cd}^*)^2 + \eta_2 S(x_t) \text{Im}(V_{ts} V_{td}^*)^2 + 2\eta_3 S(x_c, x_t) \text{Im}(V_{cs} V_{cd}^* V_{ts} V_{td}^*) \right\}$$

$$|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}$$

Neutral Kaon Decays into $\pi\pi$

$$\text{Re} \frac{\varepsilon'}{\varepsilon} \propto \text{Im}(V_{td} V_{ts}^*) \left[P^{1/2} - P^{3/2} \right] e^{i(\phi_{\varepsilon'} - \phi_{\varepsilon})}$$

PDG Average

$$\text{Re} \frac{\varepsilon'}{\varepsilon} = (1.68 \pm 0.14) \times 10^{-3}$$

Hierarchical Structure in powers of λ

$$V_{CKM} = \begin{pmatrix} 1 - \lambda^2 / 2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2 / 2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

λ = sine of the Cabibbo angle

Wolfenstein parameterization

From Global fit (PDG review, 2014):

Imposing SM constraint (3 generation unitarity):

$$\lambda = 0.22535 \pm 0.00065 \quad A = 0.811^{+0.022}_{-0.012}$$

$$\rho = 0.131^{+0.026}_{-0.013} \quad \eta = 0.345^{+0.013}_{-0.014}$$

Some Constraints on the Unitarity Triangle

| Process | CKM and other factors | Constraint |
|--------------------------------------|---|-----------------------|
| $b \rightarrow u / b \rightarrow c$ | $ V_{ub} ^2 / V_{cb} ^2$ | $\rho^2 + \eta^2$ |
| Δm_{Bd} | $ V_{td} ^2 (f_{Bd} B_{Bd})^2$ | $(1-\rho)^2 + \eta^2$ |
| $\Delta m_{Bd} / \Delta m_{Bs}$ | $ V_{td} / V_{ts} ^2 (f_{Bd} B_{Bd} / f_{Bs} B_{Bs})^2$ | $(1-\rho)^2 + \eta^2$ |
| ϵ_K | (see before) | $\eta(1-\rho)$ |
| $B(K_L^0 \rightarrow \pi^0 \nu \nu)$ | $ \text{Im}(V_{td} V_{ts}^*) ^2$ | η^2 |